



PROGRAMA DE DOCTORADO EN PSICOLOGÍA: ESCUELA DE VERANO

**Ciencia abierta, replicabilidad y evidencia científica  
en la investigación en Psicología**

Junio 2019

**3<sup>er</sup> Plan Propio  
de Docencia**

Facultad de Psicología  
UNIVERSIDAD DE SEVILLA

# Replicability Crisis in Psychology: causes and solutions

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@ppandelis

- I. Definitions
- II. Is there a crisis and how serious is it?
- III. Methodological & statistical causes (p-values, questionable research practices, etc)
- IV. Solutions (methodological/statistical education, preregistered studies, etc)
- V. Systemic causes (research validation, evaluation and communication)
- VI. Solutions (peer review, preprints, repositories, etc)
- VII. Discussion

# I. Definitions

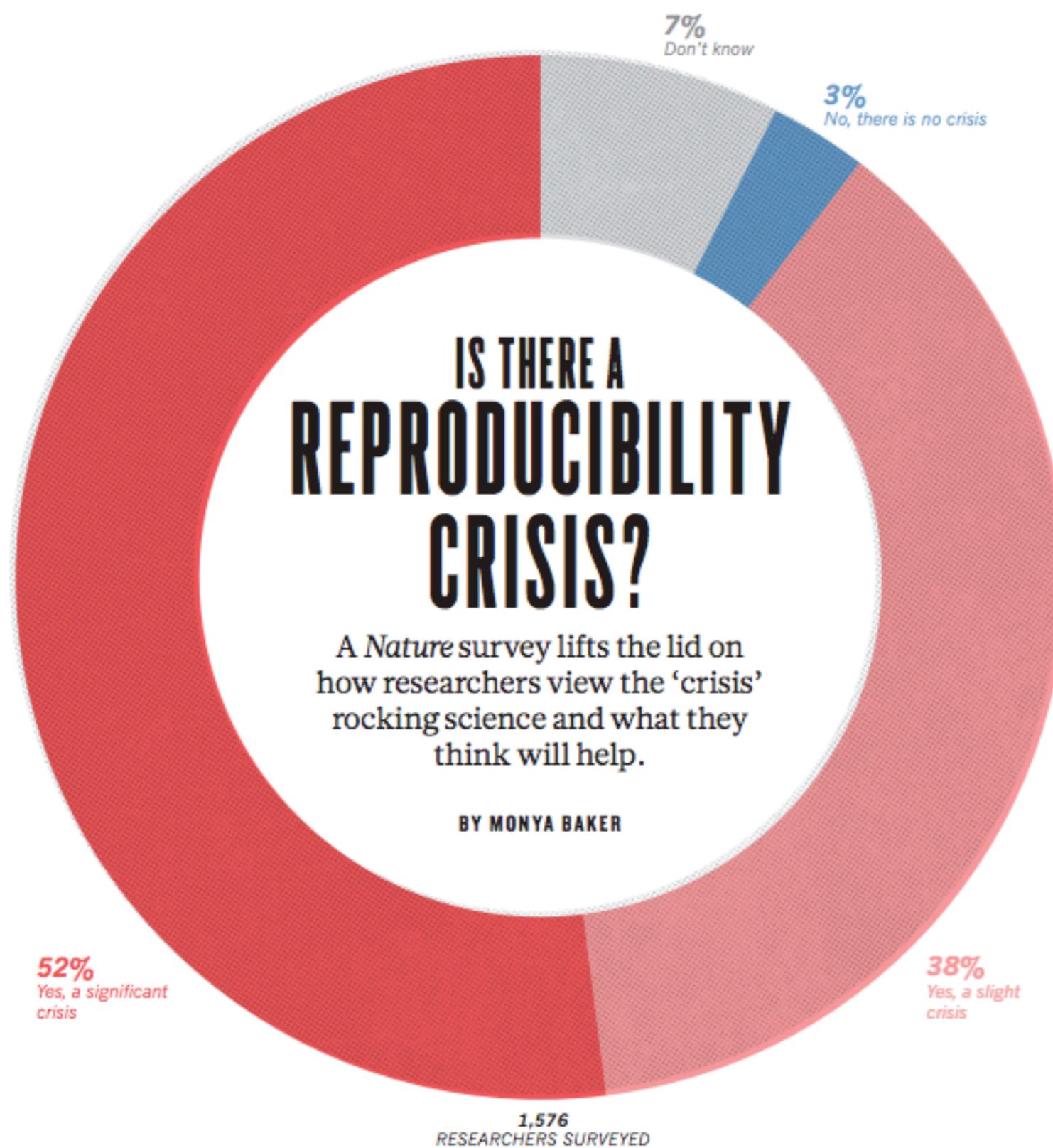
## **REPRODUCIBILITY**

- Refers to the ability of a researcher to duplicate the results of a prior study using the same materials (incl. data) and procedures as were used by the original investigator

## **REPLICABILITY**

- Refers to the ability of a researcher to duplicate the results of a prior study if the same procedures are followed but new data are collected (although 82% of replications in psychology are conceptual: Makel, Plucker & Hegarty, 2012)

II. Is there a crisis and how serious is it?



# IS THERE A REPRODUCIBILITY CRISIS?

A *Nature* survey lifts the lid on how researchers view the 'crisis' rocking science and what they think will help.

BY MONYA BAKER

1,576 RESEARCHERS SURVEYED



Article **Talk**

## Replication crisis

From Wikipedia, the free encyclopedia

PSYCHOLOGY

# Estimating the reproducibility of psychological science

Open Science Collaboration\*

28 AUGUST 2015 • VOL 349 ISSUE 6251

SCIENCE sciencemag.org



Marcia McNutt is Editor-in-Chief of *Science*.

## Reproducibility

SCIENCE ADVANCES ON A FOUNDATION OF TRUSTED DISCOVERIES. REPRODUCING AN EXPERIMENT is one important approach that scientists use to gain confidence in their conclusions. Recently, the scientific community was shaken by reports that a troubling proportion of

EDITORIAL

SCIENCE VOL 343 17 JANUARY 2014

nature  
human behaviour

LETTERS

<https://doi.org/10.1038/s41562-018-0399-z>

## Evaluating the replicability of social science experiments in *Nature* and *Science* between 2010 and 2015

Colin F. Camerer<sup>1,16</sup>, Anna Dreber<sup>2,16</sup>, Felix Holzmeister<sup>3,16</sup>, Teck-Hua Ho<sup>4,16</sup>, Jürgen Huber<sup>3,16</sup>, Magnus Johannesson<sup>5,16</sup>, Michael Kirchler<sup>3,5,16</sup>, Gideon Nave<sup>6,16</sup>, Brian A. Nosek<sup>7,8,16\*</sup>, Thomas Pfeiffer<sup>9,16</sup>, Adam Altmejd<sup>2</sup>, Nick Buttrick<sup>7,8</sup>, Taizan Chan<sup>10</sup>, Yiling Chen<sup>11</sup>, Eskil Forsell<sup>12</sup>, Anup Gampa<sup>7,8</sup>, Emma Heikensten<sup>2</sup>, Lily Hummer<sup>8</sup>, Taisuke Imai<sup>13</sup>, Siri Isaksson<sup>2</sup>, Dylan Manfredi<sup>6</sup>, Julia Rose<sup>3</sup>, Eric-Jan Wagenmakers<sup>14</sup> and Hang Wu<sup>15</sup>

MENU ▾

nature  
International journal of science

Special | 18 October 2018

## Challenges in irreproducible research

RESEARCH ARTICLE

# Estimating the reproducibility of psychological science



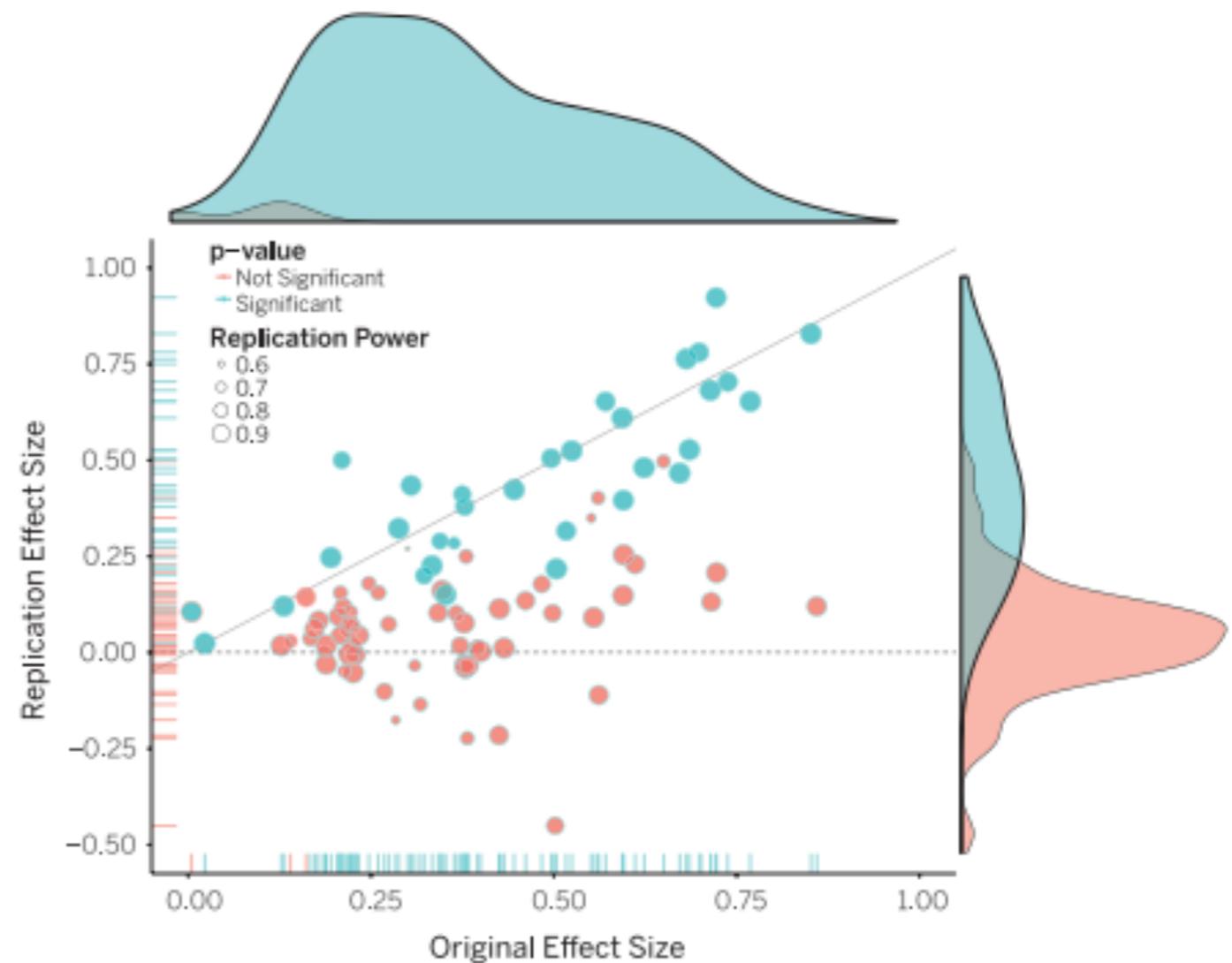
Open Science Collaboration<sup>\*,†</sup>

*\*All authors with their affiliations appear at the end of this paper.*

*†*Corresponding author. E-mail: [nosek@virginia.edu](mailto:nosek@virginia.edu)

Science 28 Aug 2015:  
Vol. 349, Issue 6251,  
DOI: 10.1126/science.aac4716

**Thirty-six percent** of replications had statistically significant results; 47% of original effect sizes were in the 95% confidence interval of the replication effect size; 39% of effects were subjectively rated to have replicated the original result; and if no bias in original results is assumed, combining original and replication results left 68% with statistically significant effects.

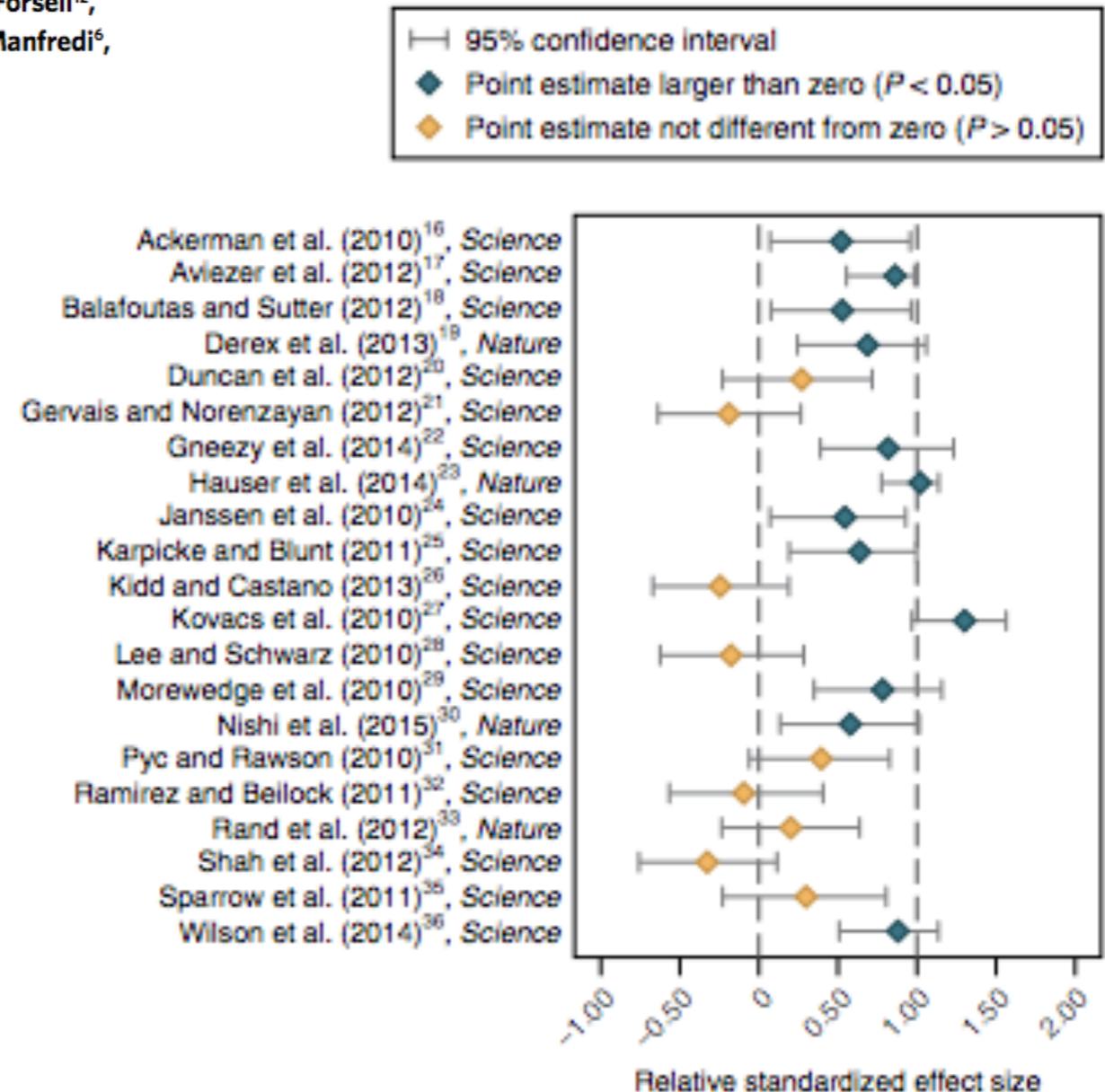


**Original study effect size versus replication effect size (correlation coefficients).** Diagonal line represents replication effect size equal to original effect size. Dotted line represents replication effect size of 0. Points below the dotted line were effects in the opposite direction of the original. Density plots are separated by significant (blue) and nonsignificant (red) effects.

## Evaluating the replicability of social science experiments in *Nature* and *Science* between 2010 and 2015

Colin F. Camerer<sup>1,16</sup>, Anna Dreber<sup>2,16</sup>, Felix Holzmeister<sup>3,16</sup>, Teck-Hua Ho<sup>4,16</sup>, Jürgen Huber<sup>3,16</sup>, Magnus Johannesson<sup>2,16</sup>, Michael Kirchler<sup>3,5,16</sup>, Gideon Nave<sup>6,16</sup>, Brian A. Nosek<sup>7,8,16\*</sup>, Thomas Pfeiffer<sup>9,16</sup>, Adam Altmejd<sup>2</sup>, Nick Buttrick<sup>7,8</sup>, Taizan Chan<sup>10</sup>, Yiling Chen<sup>11</sup>, Eskil Forsell<sup>12</sup>, Anup Gampa<sup>7,8</sup>, Emma Heikensten<sup>2</sup>, Lily Hummer<sup>8</sup>, Taisuke Imai<sup>13</sup>, Siri Isaksson<sup>2</sup>, Dylan Manfredi<sup>16</sup>, Julia Rose<sup>3</sup>, Eric-Jan Wagenmakers<sup>14</sup> and Hang Wu<sup>15</sup>

We find a significant effect in the same direction as the original study for 13 (62%) studies, and the effect size of the replications is on average about 50% of the original effect size.



Study	N	Replication rate
Open Science Collaboration. Estimating the reproducibility of <b>psychological science</b> . <i>Science</i> 349, aac4716 (2015).	100	36 %
Camerer, C. F. et al. Evaluating the Replicability of <b>Social Science Experiments</b> in Nature and Science between 2010 and 2015. <i>Nature Human Behavior</i> 2 (9): 637–44 (2018).	21	62 %
Camerer, C. F. et al. Evaluating replicability of laboratory experiments in <b>economics</b> . <i>Science</i> 351, 1433–1436 (2016).	18	61 %
Klein, R. A. et al. Investigating variation in replicability: a ‘many labs’ replication project. <i>Soc. Psychol.</i> 45, 142–152 (2014).	13	77 %
Klein, R. A. et al. Many Labs 2: Investigating Variation in Replicability Across Samples and Settings. <i>Advances in Methods and Practices in Psychological Science</i> 1, 443–490 (2018).	28	50 %
Ebersole, C. R. et al. Many Labs 3: Evaluating participant pool quality across the academic semester via replication. <i>J. Exp. Soc. Psychol.</i> 67, 68–82 (2016).	10	30 %

**The Washington Post**  
*Democracy Dies in Darkness*

Science

# No, science's reproducibility problem is not limited to psychology



III. Methodological & statistical causes (p-values, questionable research practices, etc)

# Why Most Published Research Findings Are False

John P. A. Ioannidis

**It can be proven that  
most claimed research  
findings are false.**

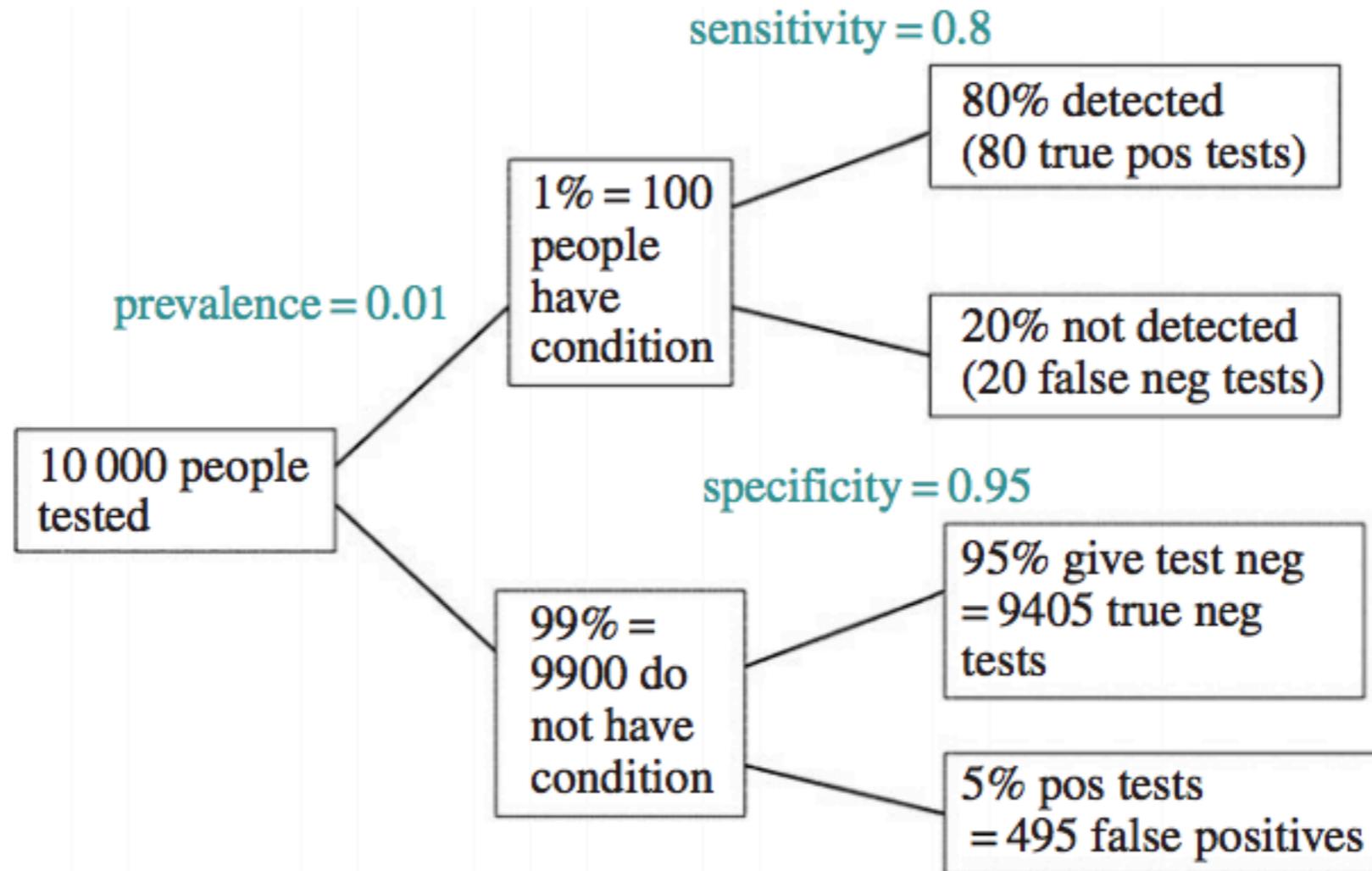
## ***p*-value**

- The probability of observing a value for the difference equal to, or greater than, that actually observed, given that **there is really no effect** ( $H_0$  is true)

## **False Discovery Rate**

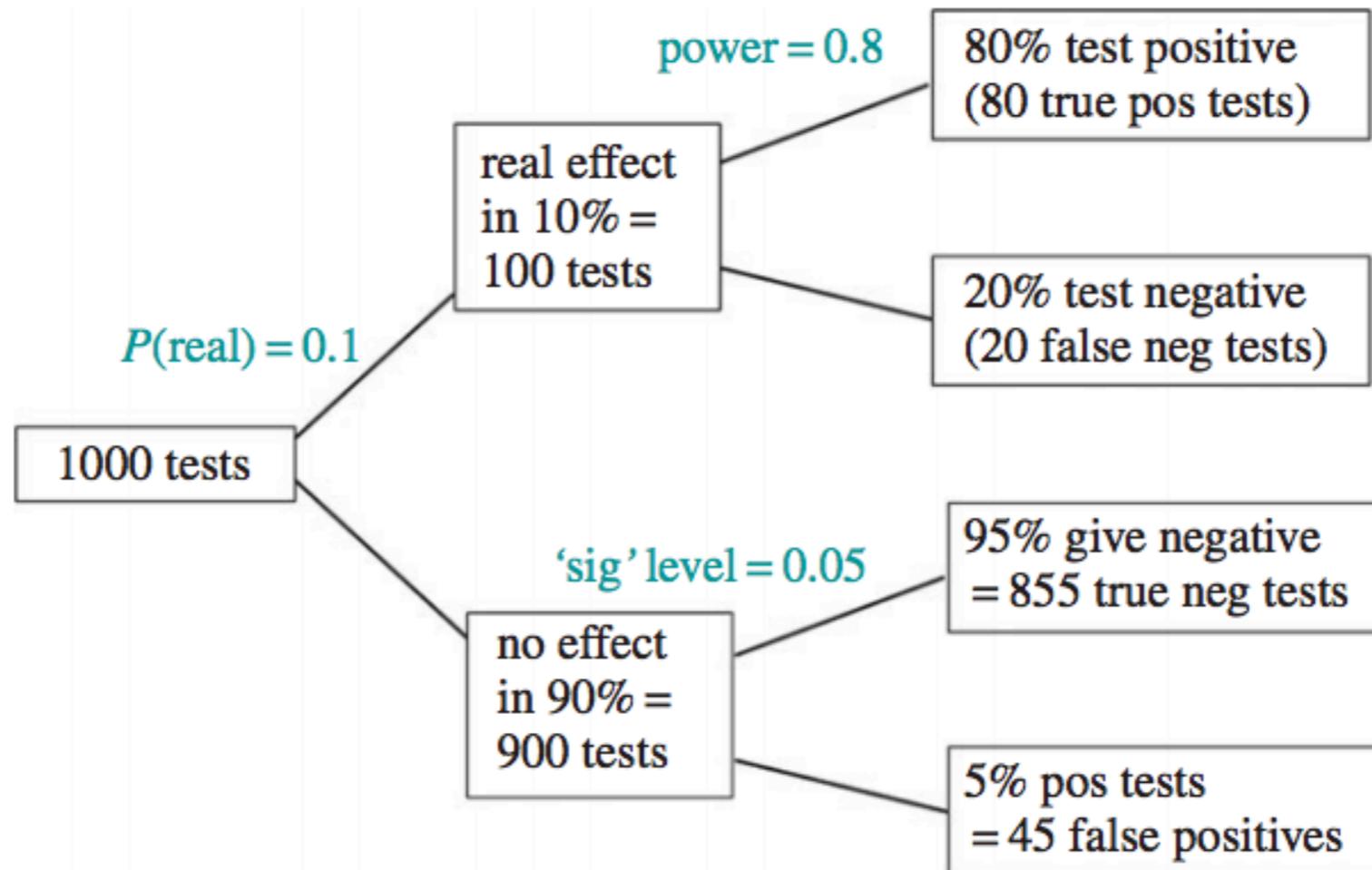
- The fraction of **all** positive tests that are false positives, i.e., there is no real effect and your observation is due to chance

Colquhoun, D. An investigation of the false discovery rate and the misinterpretation of p-values. *R Soc Open Sci* 1, 140216 (2014).



**False discovery rate =  $495 / (495 + 80) = 86\%$**

Colquhoun, D. An investigation of the false discovery rate and the misinterpretation of p-values. *R Soc Open Sci* 1, 140216 (2014).



**False discovery rate =  $45/(45 + 80) = 36\%$**

**Power = 0.2 | FDR = 70%**

**P(real) = 0.5 | Power = 0.8 | FDR = 0.6%**

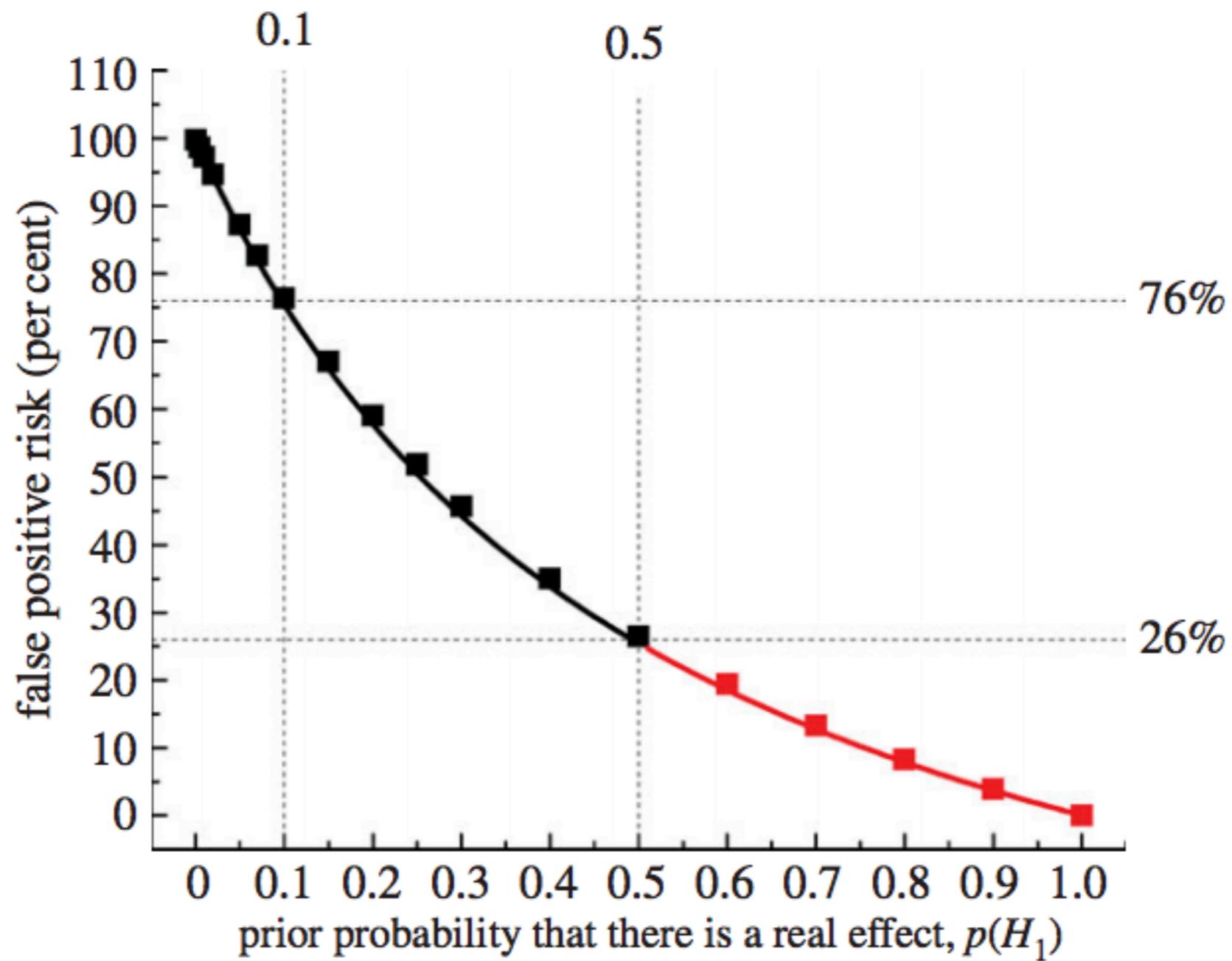
**P(real) = 0.5 | Power = 0.2 | FDR = 20%**

**P(real) = 0.5 | Power = 0.8 |  $p(\text{equals})$  | FDR = 26%**

**We optimistically estimate the median statistical power of studies in the neuroscience field to be between about 8% and about 31%.**

Button KS, et al. 2013 Power failure: why small sample size undermines the reliability of neuroscience. *Nat. Rev. Neurosci.*14, 365–376.

Colquhoun, D. An investigation of the false discovery rate and the misinterpretation of p-values. *R Soc Open Sci* 1, 140216 (2014).



# False-Positive Psychology: Undisclosed Flexibility in Data Collection and Analysis Allows Presenting Anything as Significant

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http://pss.sagepub.com  


Joseph P. Simmons<sup>1</sup>, Leif D. Nelson<sup>2</sup>, and Uri Simonsohn<sup>1</sup>

<sup>1</sup>The Wharton School, University of Pennsylvania, and <sup>2</sup>Haas School of Business, University of California, Berkeley

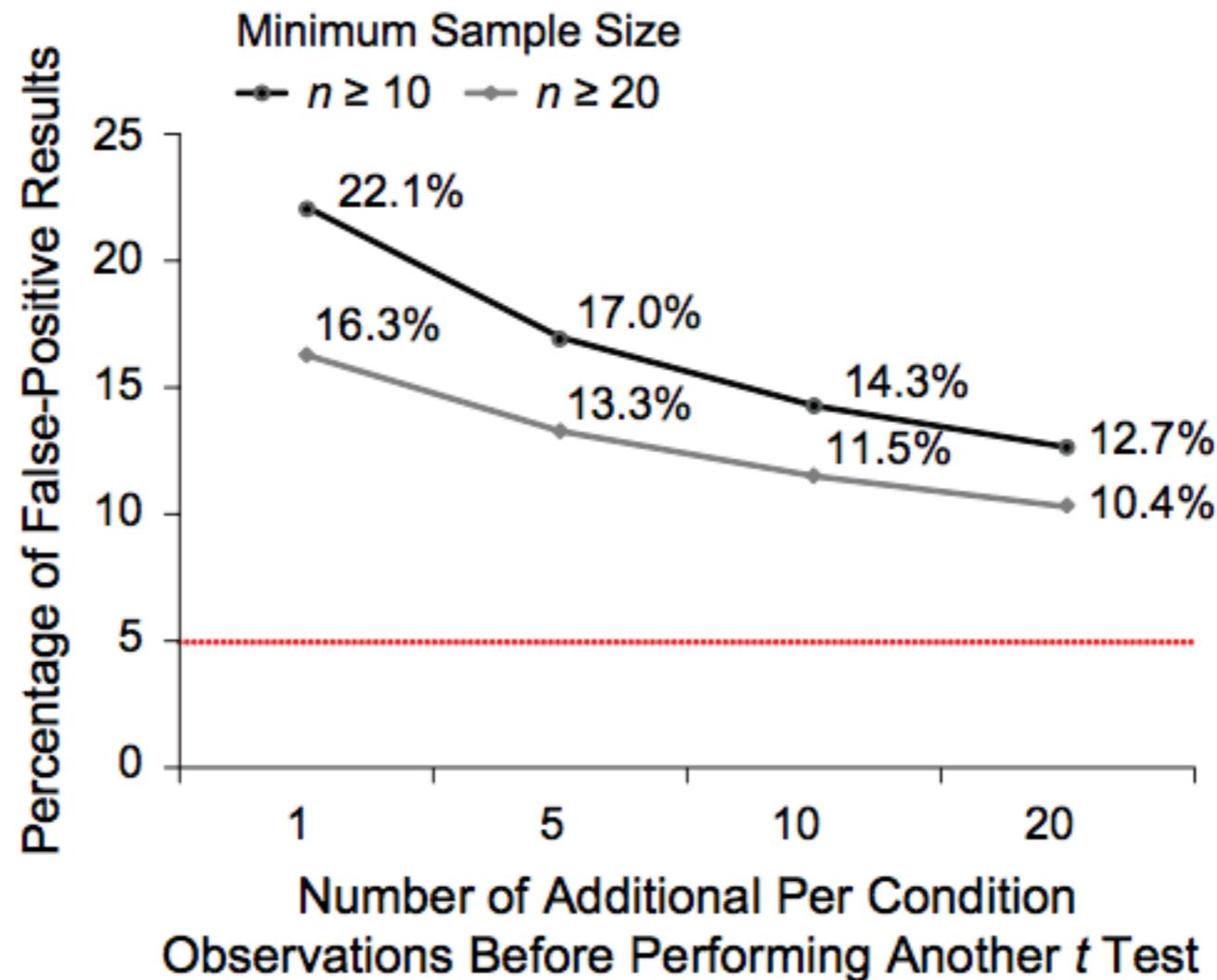
**Table 1.** Likelihood of Obtaining a False-Positive Result

Researcher degrees of freedom	Significance level		
	$p < .1$	$p < .05$	$p < .01$
Situation A: two dependent variables ( $r = .50$ )	17.8%	9.5%	2.2%
Situation B: addition of 10 more observations per cell	14.5%	7.7%	1.6%
Situation C: controlling for gender or interaction of gender with treatment	21.6%	11.7%	2.7%
Situation D: dropping (or not dropping) one of three conditions	23.2%	12.6%	2.8%
Combine Situations A and B	26.0%	14.4%	3.3%
Combine Situations A, B, and C	50.9%	30.9%	8.4%
Combine Situations A, B, C, and D	81.5%	60.7%	21.5%

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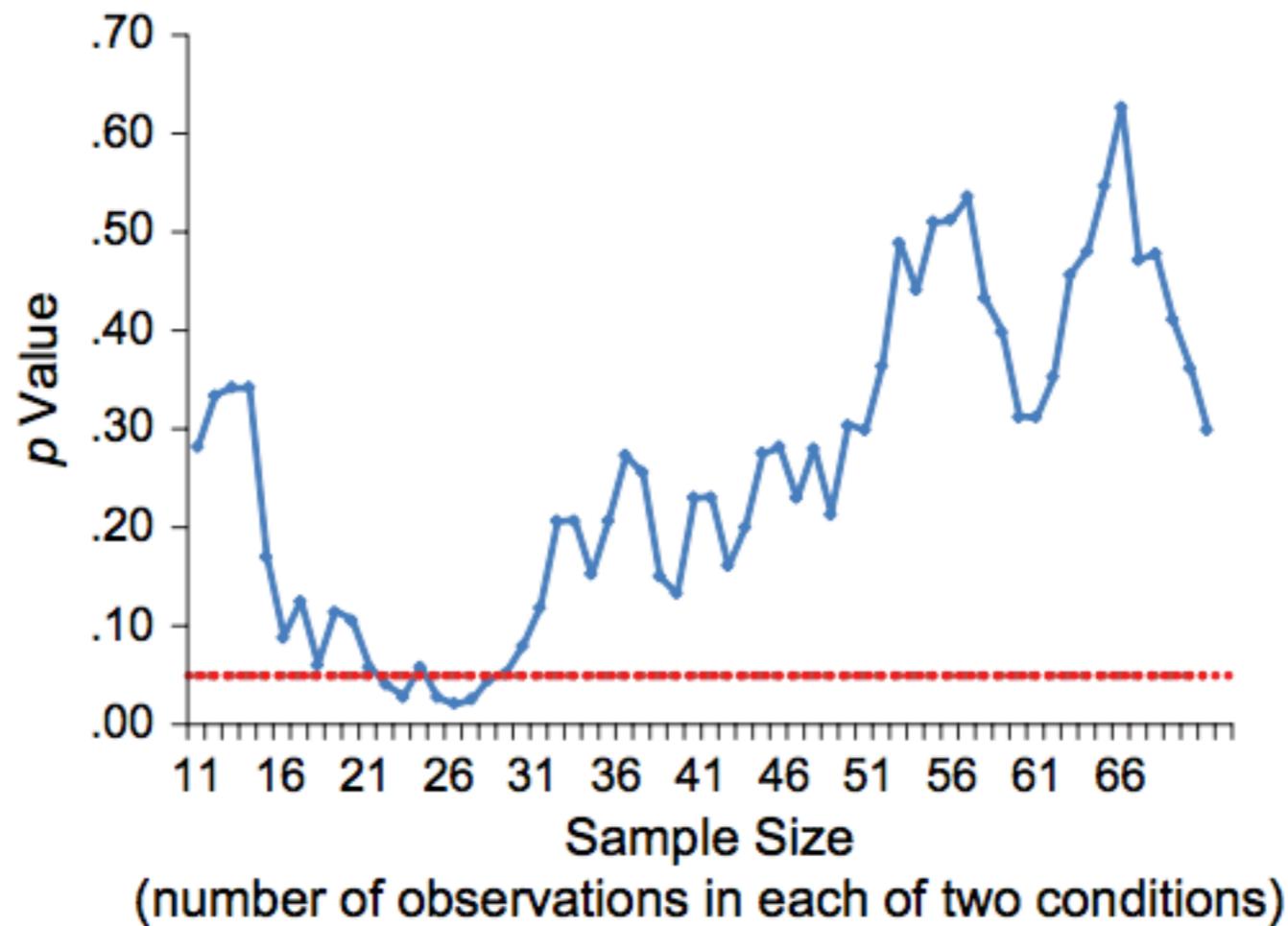


# False-Positive Psychology: Undisclosed Flexibility in Data Collection and Analysis Allows Presenting Anything as Significant

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**If several thousand potential analytical pipelines can be applied to high-dimensional data, the generation of false-positive findings is highly likely. For example, applying almost 7,000 analytical pipelines to a single fMRI dataset resulted in over 90% of brain voxels showing significant activation in at least one analysis.**

**Carp, J. On the plurality of (methodological) worlds: estimating the analytic flexibility of fMRI experiments. *Front. Neurosci.* 6, 149 (2012).**



# Neural correlates of interspecies perspective taking in the post-mortem Atlantic Salmon: An argument for multiple comparisons correction

Craig M. Bennett<sup>1</sup>, Abigail A. Baird<sup>2</sup>, Michael B. Miller<sup>1</sup>, and George L. Wolford<sup>3</sup>

<sup>1</sup> Psychology Department, University of California Santa Barbara, Santa Barbara, CA; <sup>2</sup> Department of Psychology, Vassar College, Poughkeepsie, NY; <sup>3</sup> Department of Psychological & Brain Sciences, Dartmouth College, Hanover, NH

## INTRODUCTION

With the extreme dimensionality of functional neuroimaging data comes extreme risk for false positives. Across the 130,000 voxels in a typical fMRI volume the probability of a false positive is almost certain. Correction for multiple comparisons should be completed with these datasets, but is often ignored by investigators. To illustrate the magnitude of the problem we carried out a real experiment that demonstrates the danger of not correcting for chance properly.

## METHODS

**Subject.** One mature Atlantic Salmon (*Salmo salar*) participated in the fMRI study. The salmon was approximately 18 inches long, weighed 3.8 lbs, and was not alive at the time of scanning.

**Task.** The task administered to the salmon involved completing an open-ended mentalizing task. The salmon was shown a series of photographs depicting human individuals in social situations with a specified emotional valence. The salmon was asked to determine what emotion the individual in the photo must have been experiencing.

**Design.** Stimuli were presented in a block design with each photo presented for 10 seconds followed by 12 seconds of rest. A total of 15 photos were displayed. Total scan time was 5.5 minutes.

**Preprocessing.** Image processing was completed using SPM2. Preprocessing steps for the functional imaging data included a 6-parameter rigid-body affine realignment of the fMRI timeseries, coregistration of the data to a T<sub>1</sub>-weighted anatomical image, and 8 mm full-width at half-maximum (FWHM) Gaussian smoothing.

**Analysis.** Voxewise statistics on the salmon data were calculated through an ordinary least-squares estimation of the general linear model (GLM). Predictors of the hemodynamic response were modeled by a boxcar function convolved with a canonical hemodynamic response. A temporal high pass filter of 128 seconds was include to account for low frequency drift. No autocorrelation correction was applied.

**Voxel Selection.** Two methods were used for the correction of multiple comparisons in the fMRI results. The first method controlled the overall false discovery rate (FDR) and was based on a method defined by Benjamini and Hochberg (1995). The second method controlled the overall familywise error rate (FWER) through the use of Gaussian random field theory. This was done using algorithms originally devised by Friston et al. (1994).

## DISCUSSION

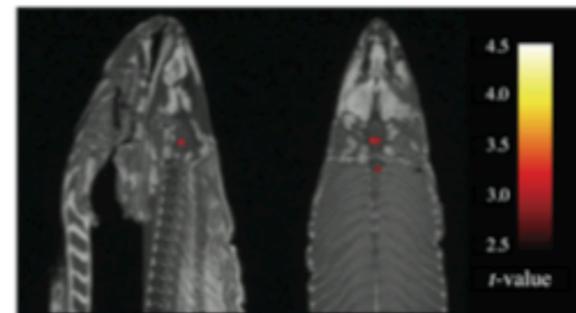
Can we conclude from this data that the salmon is engaging in the perspective-taking task? Certainly not. What we can determine is that random noise in the EPI timeseries may yield spurious results if multiple comparisons are not controlled for. Adaptive methods for controlling the FDR and FWER are excellent options and are widely available in all major fMRI analysis packages. We argue that relying on standard statistical thresholds ( $p < 0.001$ ) and low minimum cluster sizes ( $k > 8$ ) is an ineffective control for multiple comparisons. We further argue that the vast majority of fMRI studies should be utilizing multiple comparisons correction as standard practice in the computation of their statistics.

## REFERENCES

Benjamini Y and Hochberg Y (1995). Controlling the false discovery rate: a practical and powerful approach to multiple testing. *Journal of the Royal Statistical Society: Series B*, 57: 289-300.

Friston KJ, Worsley KJ, Frackowiak RSJ, Mazziotta JC, and Evans AC. (1994). Assessing the significance of focal activations using their spatial extent. *Human Brain Mapping*, 1:214-220.

## GLM RESULTS

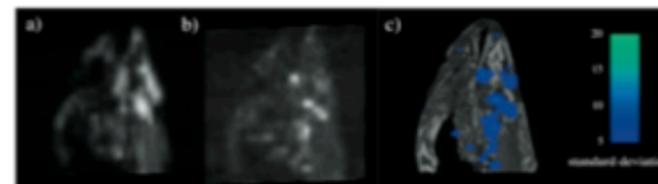


A  $t$ -contrast was used to test for regions with significant BOLD signal change during the photo condition compared to rest. The parameters for this comparison were  $t(131) > 3.15$ ,  $p(\text{uncorrected}) < 0.001$ , 3 voxel extent threshold.

Several active voxels were discovered in a cluster located within the salmon's brain cavity (Figure 1, see above). The size of this cluster was 81 mm<sup>3</sup> with a cluster-level significance of  $p = 0.001$ . Due to the coarse resolution of the echo-planar image acquisition and the relatively small size of the salmon brain further discrimination between brain regions could not be completed. Out of a search volume of 8064 voxels a total of 16 voxels were significant.

Identical  $t$ -contrasts controlling the false discovery rate (FDR) and familywise error rate (FWER) were completed. These contrasts indicated no active voxels, even at relaxed statistical thresholds ( $p = 0.25$ ).

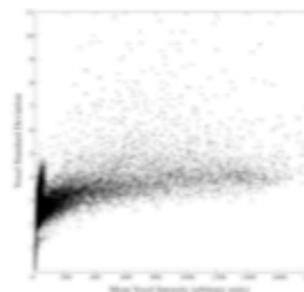
## VOXELWISE VARIABILITY



To examine the spatial configuration of false positives we completed a variability analysis of the fMRI timeseries. On a voxel-by-voxel basis we calculated the standard deviation of signal values across all 140 volumes.

We observed clustering of highly variable voxels into groups near areas of high voxel signal intensity. Figure 2a shows the mean EPI image for all 140 image volumes. Figure 2b shows the standard deviation values of each voxel. Figure 2c shows thresholded standard deviation values overlaid onto a high-resolution T<sub>1</sub>-weighted image.

To investigate this effect in greater detail we conducted a Pearson correlation to examine the relationship between the signal in a voxel and its variability. There was a significant positive correlation between the mean voxel value and its variability over time ( $r = 0.54$ ,  $p < 0.001$ ). A scatterplot of mean voxel signal intensity against voxel standard deviation is presented to the right.





# Neural correlates of interspecies perspective taking in the post-mortem Atlantic Salmon: An argument for multiple comparisons correction

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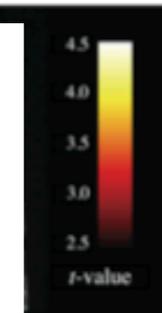
## GLM RESULTS

## METHODS

**Subject.** One mature Atlantic Salmon (*Salmo salar*) participated in the fMRI study. The salmon was approximately 18 inches long, weighed 3.8 lbs, and was not alive at the time of scanning.

**Task.** The task administered to the salmon involved completing an open-ended mentalizing task. The salmon was shown a series of photographs depicting human individuals in social situations with a specified emotional valence. The salmon was asked to determine what emotion the individual in the photo must have been experiencing.

**Design.** Stimuli were presented in a block design with each photo presented for 10 seconds followed by 12 seconds of rest. A total of 15 photos were displayed. Total scan time was 5.5 minutes.



mean BOLD signal change  
the parameters for this  
0.001, 3 voxel extent

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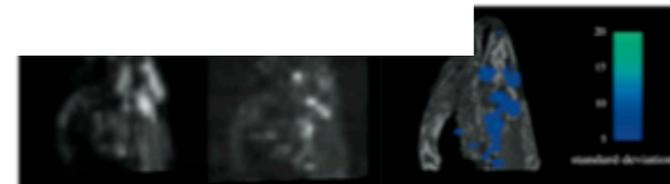
## DISCUSSION

Can we conclude from this data that the salmon is engaging in the perspective-taking task? Certainly not. What we can determine is that random noise in the EPI timeseries may yield spurious results if multiple comparisons are not controlled for. Adaptive methods for controlling the FDR and FWER are excellent options and are widely available in all major fMRI analysis packages. We argue that relying on standard statistical thresholds ( $p < 0.001$ ) and low minimum cluster sizes ( $k > 8$ ) is an ineffective control for multiple comparisons. We further argue that the vast majority of fMRI studies should be utilizing multiple comparisons correction as standard practice in the computation of their statistics.

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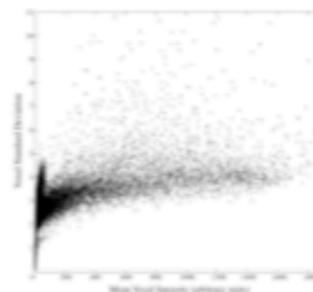
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## INTRODUCTION

With the extreme dimensionality of functional neuroimaging, there is an extreme risk for false positives. Across the 130,000 volume the probability of a false positive is almost always high. Multiple comparisons should be completed with the appropriate correction ignored by investigators. To illustrate the magnitude of the problem, we carried out a real experiment that demonstrates the need for chance properly.

## METHODS

**Subject.** One mature Atlantic Salmon (*Salmo salar*) participated in the study. The salmon was approximately 18 inches long, weighed 3.5 lbs at the time of scanning.

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**Preprocessing.** Image processing was completed using SPM for the functional imaging data included a 6-parameter rigid body registration of the fMRI timeseries, coregistration of the data to a T1-weighted anatomical scan, and 8 mm full-width at half-maximum (FWHM) Gaussian smoothing.

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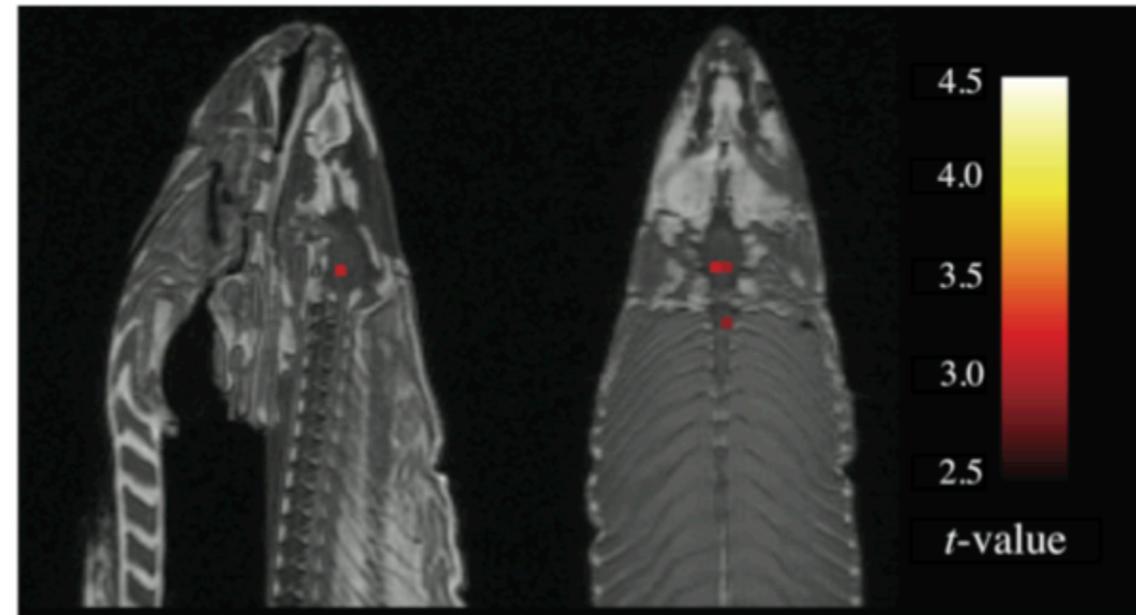
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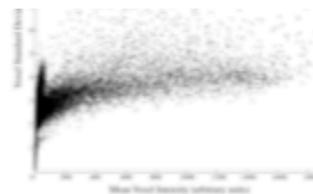


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# Neural correlates of interspecies perspective taking in the post-mortem Atlantic Salmon: An argument for multiple comparisons correction

Craig M. Bennett<sup>1</sup>, Abigail A. Baird<sup>2</sup>, Michael B. Miller<sup>1</sup>, and George L. Wolford<sup>3</sup>

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## INTRODUCTION

With the extreme dimensionality of functional neuroimaging data comes extreme risk for false positives. Across the 130,000 voxels in a typical fMRI volume the probability of a false positive is almost certain. Correction for multiple comparisons should be completed with these datasets, but is often ignored by investigators. To illustrate the magnitude of the problem we carried out a real experiment that demonstrates the danger of not correcting for chance properly.

## METHODS

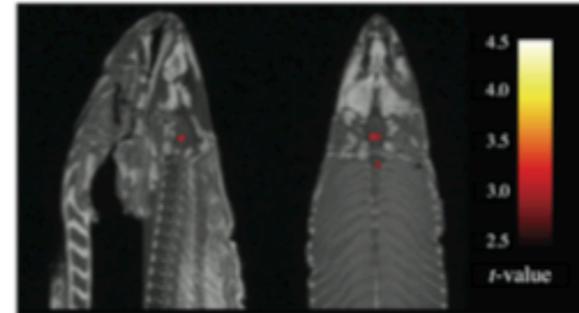
**Subject.** One mature Atlantic Salmon (*Salmo salar*) participated in the fMRI study. The salmon was approximately 18 inches long, weighed 3.8 lbs, and was not alive at the time of scanning.

**Task.** The task administered to the salmon involved completing an open-ended mentalizing task. The salmon was shown a series of photographs depicting human individuals in social situations with a specified emotional valence. The salmon was asked to determine what emotion the individual in the photo must have been experiencing.

**Design.** Stimuli were presented in a block design with each photo presented for 10 seconds followed by 12 seconds of rest. A total of 15 photos were displayed. Total scan time was 5.5 minutes.

**Preprocessing.** Image processing was completed using SPM2. Preprocessing steps for the functional imaging data included a 6-parameter rigid body affine registration

## GLM RESULTS



A *t*-contrast was used to test for regions with significant BOLD signal change during the photo condition compared to rest. The parameters for this comparison were  $t(131) > 3.15$ ,  $p(\text{uncorrected}) < 0.001$ , 3 voxel extent threshold.

Several active voxels were discovered in a cluster located within the salmon's brain cavity (Figure 1, see above). The size of this cluster was 81 mm<sup>3</sup> with a cluster-level significance of  $p = 0.001$ . Due to the coarse resolution of the echo-planar image acquisition and the relatively small size of the salmon brain further discrimination between brain regions could not be completed. Out of a search volume of 8064 voxels a total of 16 voxels were significant.

## DISCUSSION

Can we conclude from this data that the salmon is engaging in the perspective-taking task? Certainly not. What we can determine is that random noise in the EPI timeseries may yield spurious results if multiple comparisons are not controlled for. Adaptive methods for controlling the FDR and FWER are excellent options and are widely available in all major fMRI analysis packages. We argue that relying on standard statistical thresholds ( $p < 0.001$ ) and low minimum cluster sizes ( $k > 8$ ) is an ineffective control for multiple comparisons. We further argue that the vast majority of fMRI studies should be utilizing multiple comparisons correction as standard practice in the computation of their statistics.

Friston K.J, Worsley K.J, Frackowiak R.S.J, Mazziotta J.C., and Evans A.C. (1994). Assessing the significance of focal activations using their spatial extent. *Human Brain Mapping*, 1:214-220.

intensity against voxel number deviation is presented to the right.



How is variable 1 coded? How is variable 2 coded? Exclude if ...? How ....

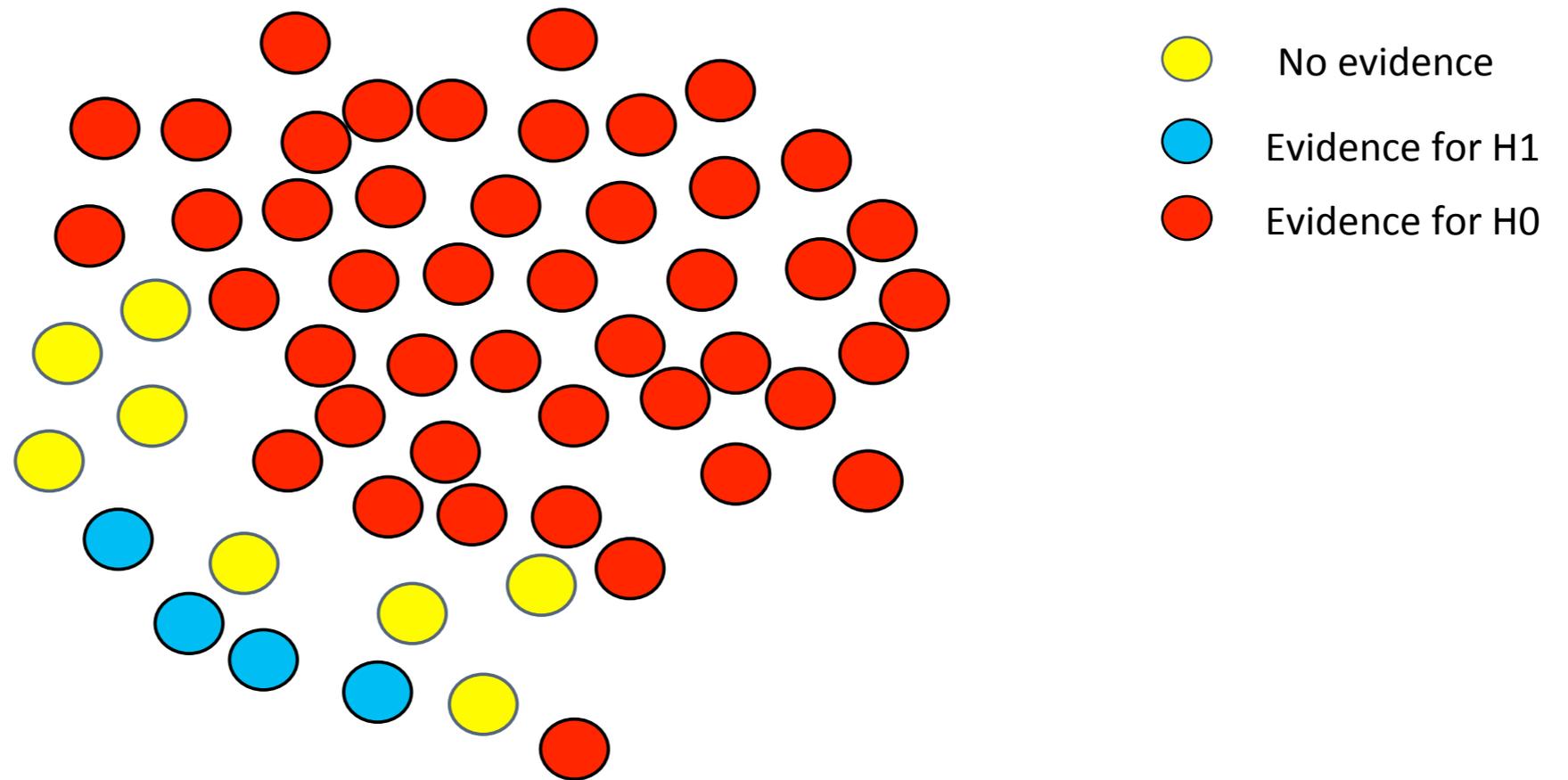
5

X 3

X 4

X ....

= the **multiverse**



Steegen, S., Tuerlinckx, F., Gelman, A. & Vanpaemel, W. Increasing Transparency Through a Multiverse Analysis. *Perspect. Psychol. Sci.* 11, 702–712 (2016).

How is variable 1 coded? How is variable 2 coded? Exclude if ...? How ....

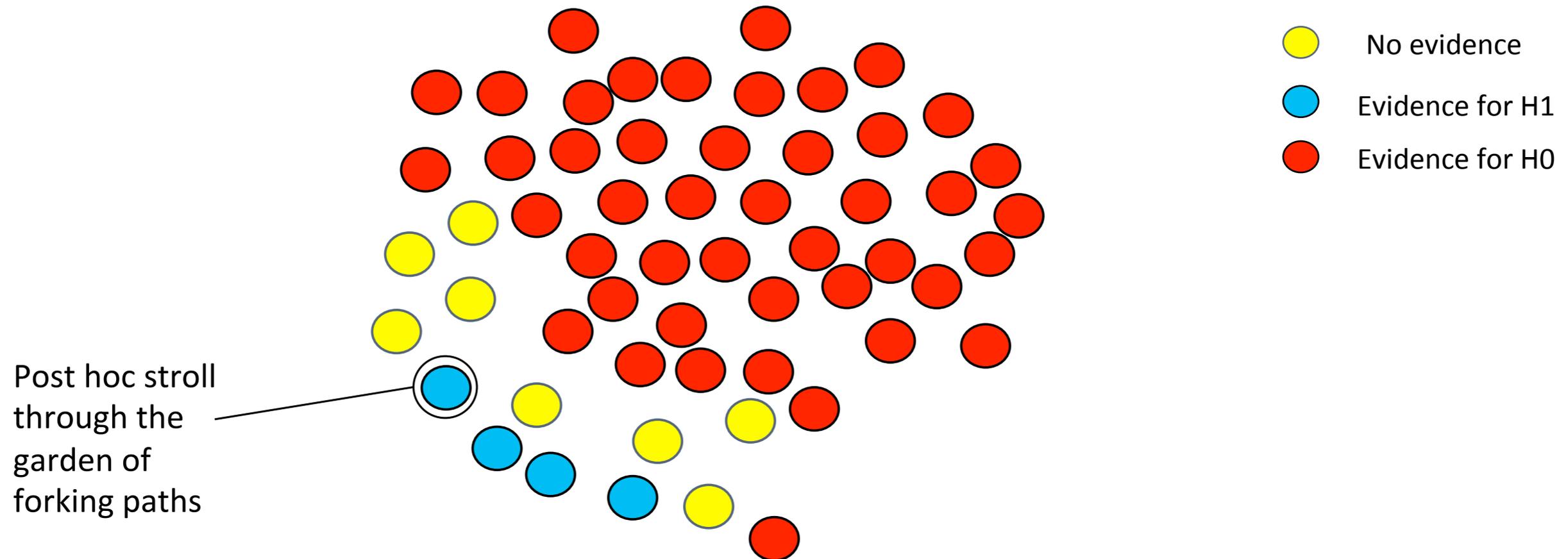
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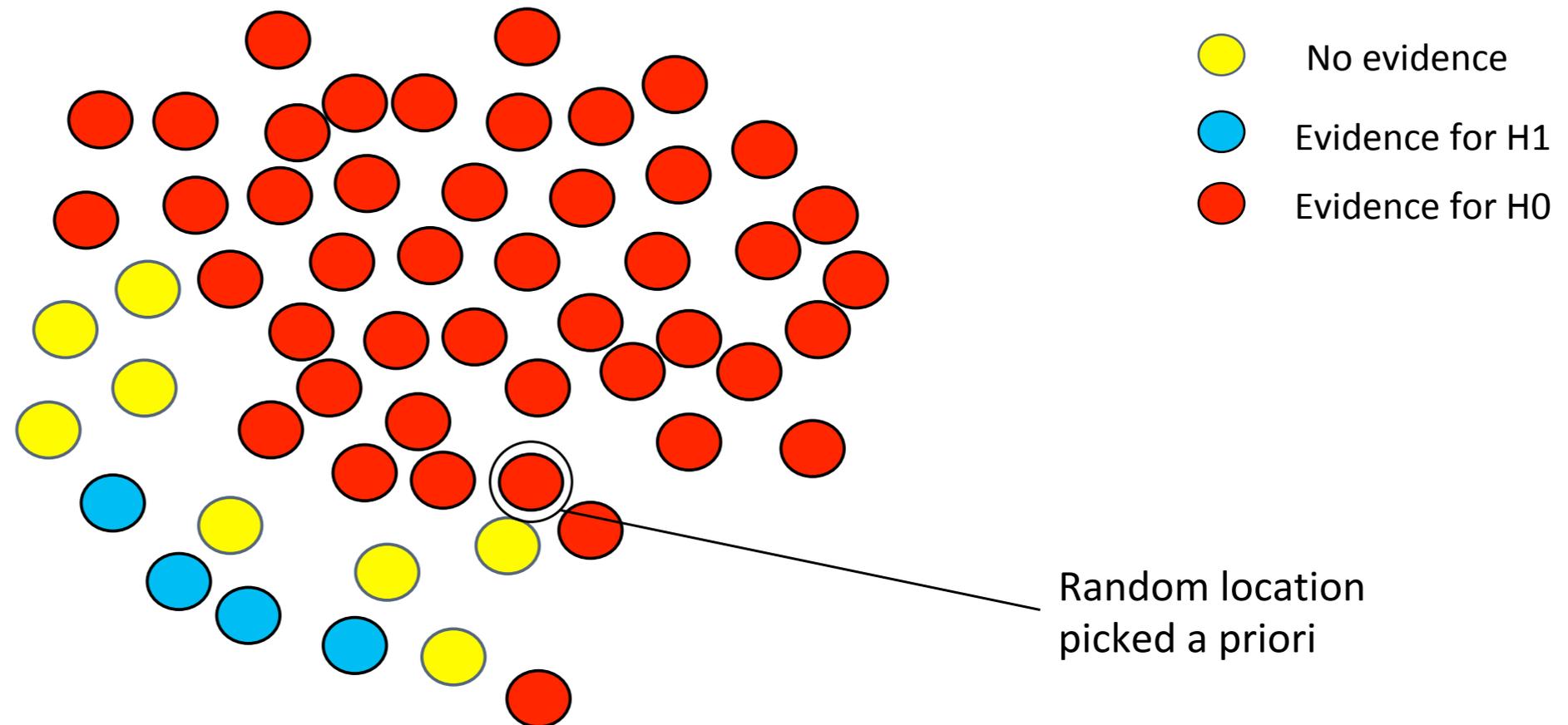
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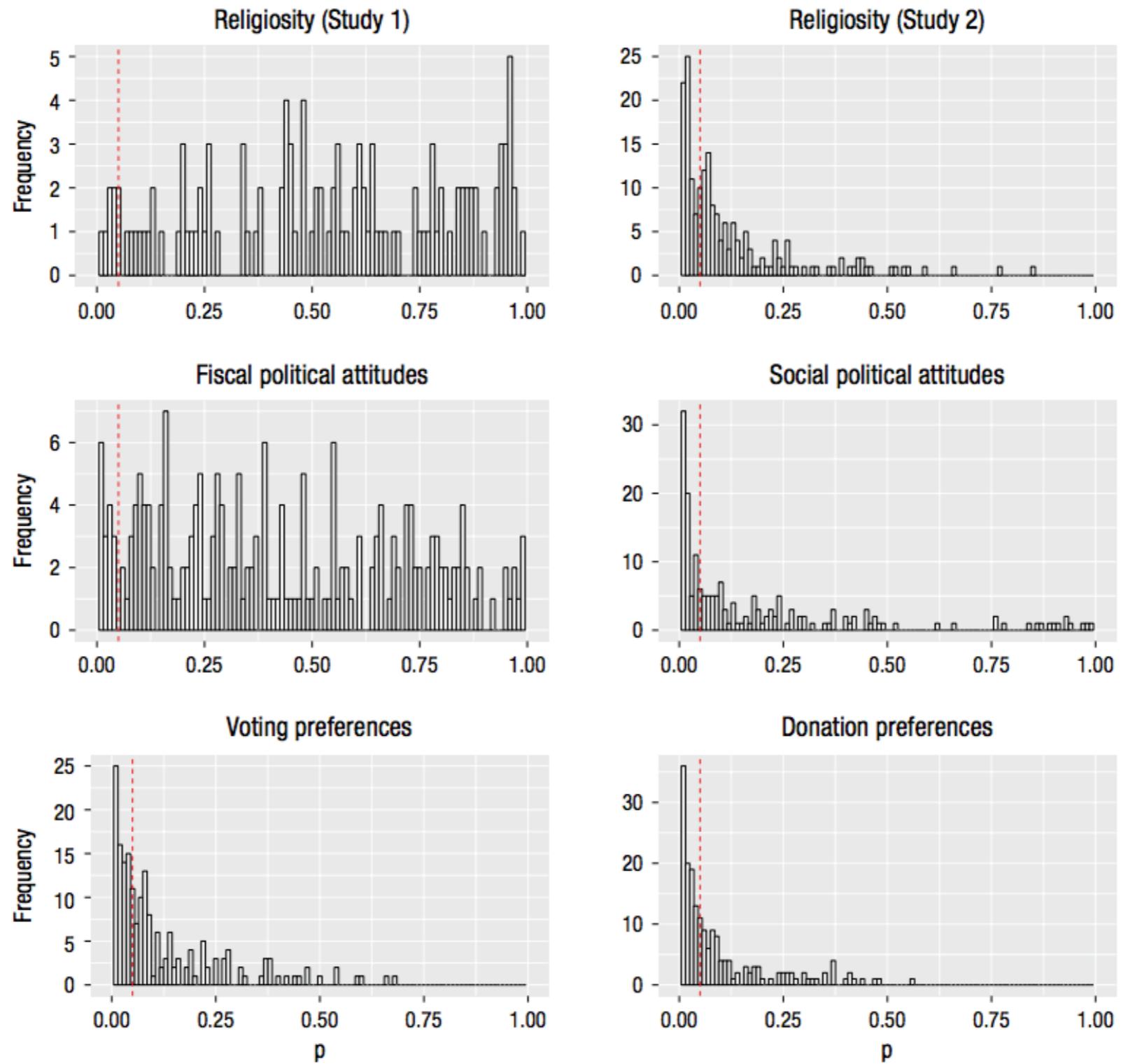
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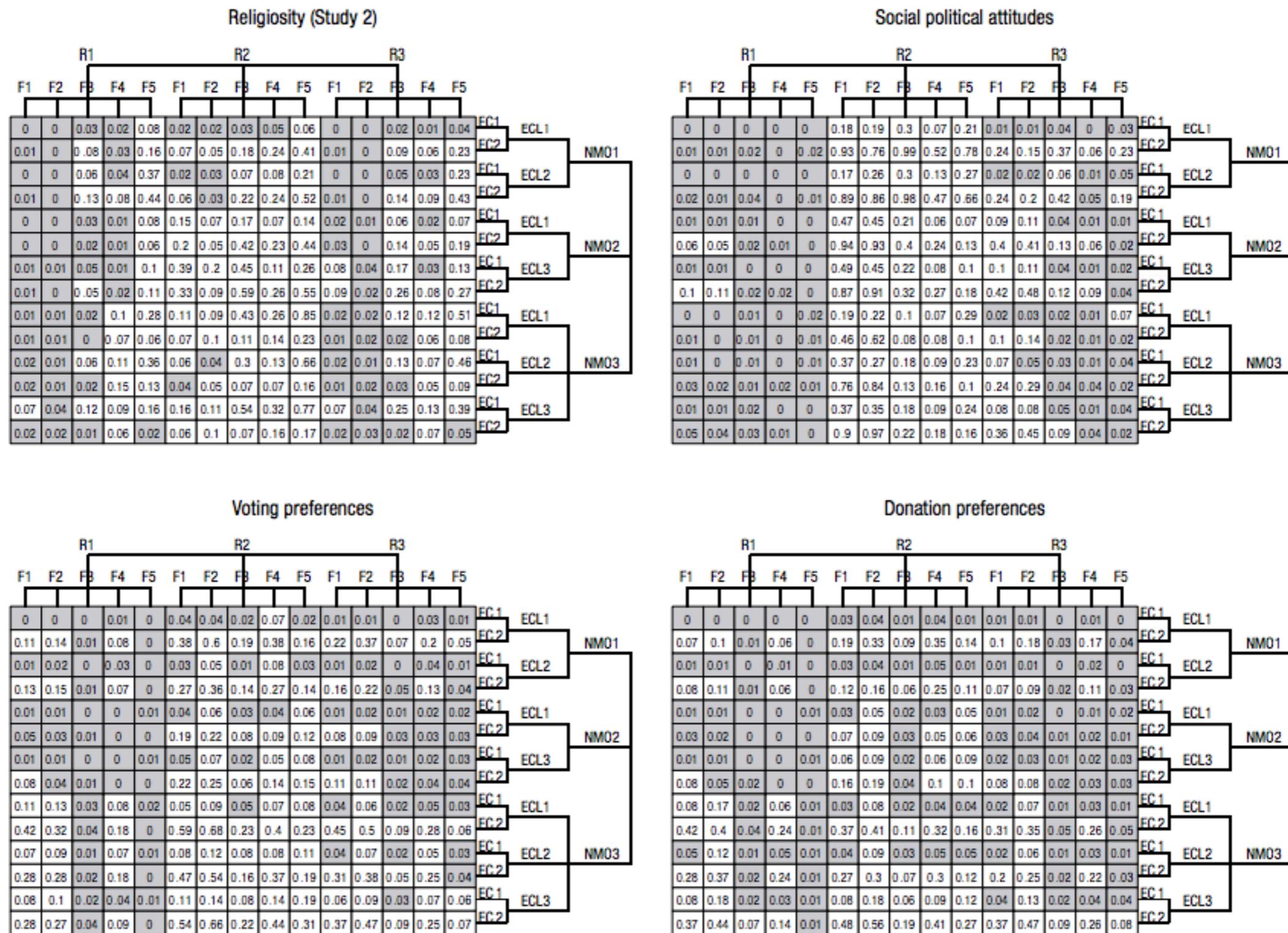


Steegen, S., Tuerlinckx, F., Gelman, A. & Vanpaemel, W. Increasing Transparency Through a Multiverse Analysis. *Perspect. Psychol. Sci.* 11, 702–712 (2016).



**Fig. 1.** Histogram of  $p$  values of the Fertility  $\times$  Relationship status interaction on religiosity for the multiverse of 120 data sets in Study 1 and 210 data sets in Study 2 (Panels A and B), on fiscal and social political attitudes for the multiverse of 210 data sets in Study 2 (Panels C and D), and on voting and donation preferences for the multiverse of 210 data sets in Study 2 (Panels E and F). The dashed line indicates  $p = .05$ .

Steege, S., Tuerlinckx, F., Gelman, A. & Vanpaemel, W. Increasing Transparency Through a Multiverse Analysis. *Perspect. Psychol. Sci.* 11, 702–712 (2016).



**Fig. 2.** Visualization of the multiverse of  $p$  values of the Fertility  $\times$  Relationship status interaction on religiosity (Panel A), on social political attitudes (Panel B), on voting preferences (Panel C), and on donation preferences (Panel D) in Study 2, showing the dependence of the results on data processing choices. See Table 1 for an explanation of the acronyms.

Steege, S., Tuerlinckx, F., Gelman, A. & Vanpaemel, W. Increasing Transparency Through a Multiverse Analysis. *Perspect. Psychol. Sci.* 11, 702–712 (2016).

# Measuring the Prevalence of Questionable Research Practices With Incentives for Truth Telling

Psychological Science  
 23(5) 524–532  
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 DOI: 10.1177/0956797611430953  
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Leslie K. John<sup>1</sup>, George Loewenstein<sup>2</sup>, and Drazen Prelec<sup>3</sup>

<sup>1</sup>Marketing Unit, Harvard Business School; <sup>2</sup>Department of Social & Decision Sciences, Carnegie Mellon University; and <sup>3</sup>Sloan School of Management and Departments of Economics and Brain & Cognitive Sciences, Massachusetts Institute of Technology

**Table 1.** Results of the Main Study: Mean Self-Admission Rates, Comparison of Self-Admission Rates Across Groups, and Mean Defensibility Ratings

Item	Self-admission rate (%)		Odds ratio (BTS/control)	Two-tailed <i>p</i> (likelihood ratio test)	Defensibility rating (across groups)
	Control group	BTS group			
1. In a paper, failing to report all of a study's dependent measures	63.4	66.5	1.14	.23	1.84 (0.39)
2. Deciding whether to collect more data after looking to see whether the results were significant	55.9	58.0	1.08	.46	1.79 (0.44)
3. In a paper, failing to report all of a study's conditions	27.7	27.4	0.98	.90	1.77 (0.49)
4. Stopping collecting data earlier than planned because one found the result that one had been looking for	15.6	22.5	1.57	.00	1.76 (0.48)
5. In a paper, "rounding off" a <i>p</i> value (e.g., reporting that a <i>p</i> value of .054 is less than .05)	22.0	23.3	1.07	.58	1.68 (0.57)
6. In a paper, selectively reporting studies that "worked"	45.8	50.0	1.18	.13	1.66 (0.53)
7. Deciding whether to exclude data after looking at the impact of doing so on the results	38.2	43.4	1.23	.06	1.61 (0.59)
8. In a paper, reporting an unexpected finding as having been predicted from the start	27.0	35.0	1.45	.00	1.50 (0.60)
9. In a paper, claiming that results are unaffected by demographic variables (e.g., gender) when one is actually unsure (or knows that they do)	3.0	4.5	1.52	.16	1.32 (0.60)
10. Falsifying data	0.6	1.7	2.75	.07	0.16 (0.38)

**N=2000 psychologists**

IV. Solutions (methodological/statistical education, preregistered studies, etc)

**Colquhoun, D. The reproducibility of research and the misinterpretation of p-values. *R Soc Open Sci* 4, 171085 (2017).**

- Continue to give p-values, but also effect size and confidence intervals
- Never, ever, use the words ‘significant’ and ‘non-significant’ to describe the results. This wholly arbitrary dichotomy has done untold mischief to the integrity of science
- Consider using a reverse Bayesian approach where you calculate the prior probability required to achieve a specified false positive rate (e.g., 5%)

**Colquhoun, D. The reproducibility of research and the misinterpretation of p-values. *R Soc Open Sci* 4, 171085 (2017).**

Example:

- A study of transcranial electromagnetic stimulation, published in *Science*, concluded that it ‘improved associative memory performance’,  $p = 0.043$ ;  $N = 8$
- Assuming adequate power, to achieve a false positive risk of 5% when we observe  $p = 0.043$ , we would have to assume a prior probability of 0.85 that the effect on memory was genuine

# False-Positive Psychology: Undisclosed Flexibility in Data Collection and Analysis Allows Presenting Anything as Significant

Psychological Science  
22(11) 1359–1366  
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sagepub.com/journalsPermissions.nav  
DOI: 10.1177/0956797611417632  
<http://pss.sagepub.com>  


Joseph P. Simmons<sup>1</sup>, Leif D. Nelson<sup>2</sup>, and Uri Simonsohn<sup>1</sup>

<sup>1</sup>The Wharton School, University of Pennsylvania, and <sup>2</sup>Haas School of Business, University of California, Berkeley

**Table 2.** Simple Solution to the Problem of False-Positive Publications

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## Requirements for authors

1. Authors must decide the rule for terminating data collection before data collection begins and report this rule in the article.
2. Authors must collect at least 20 observations per cell or else provide a compelling cost-of-data-collection justification.
3. Authors must list all variables collected in a study.
4. Authors must report all experimental conditions, including failed manipulations.
5. If observations are eliminated, authors must also report what the statistical results are if those observations are included.
6. If an analysis includes a covariate, authors must report the statistical results of the analysis without the covariate.

## Guidelines for reviewers

1. Reviewers should ensure that authors follow the requirements.
  2. Reviewers should be more tolerant of imperfections in results.
  3. Reviewers should require authors to demonstrate that their results do not hinge on arbitrary analytic decisions.
  4. If justifications of data collection or analysis are not compelling, reviewers should require the authors to conduct an exact replication.
-

# The preregistration revolution



Brian A. Nosek<sup>a,b,1</sup>, Charles R. Ebersole<sup>b</sup>, Alexander C. DeHaven<sup>a</sup>, and David T. Mellor<sup>a</sup>

<sup>a</sup>Center for Open Science, Charlottesville, VA 22903; and <sup>b</sup>Department of Psychology, University of Virginia, Charlottesville, VA 22904

2600–2606 | PNAS | March 13, 2018 | vol. 115 | no. 11

**All too often, we find ourselves unable to predict what will happen; yet after the fact we explain what did happen with a great deal of confidence. This “ability” to explain that which we cannot predict, even in the absence of any additional information, represents an important, though subtle, flaw in our reasoning. It leads us to believe that there is a less uncertain world than there actually is....**

— Amos Tversky

# Preregistration benefits

- Makes distinction between confirmatory and exploratory research
- Reduces the effect of publication bias on effect-size estimation

**Nosek, B. A. & Lindsay, D. S. Preregistration becoming the norm in psychological science. *APS Obs.* 31, (2018).**

# Preregistration in practice

The image shows a screenshot of the OSFHOME website. At the top, the OSFHOME logo is on the left, and navigation links for Search, Support, Donate, Sign Up, and Sign In are on the right. The main heading is "Open Science Framework" with the tagline "A scholarly commons to connect the entire research cycle". Below this is a large circular logo composed of white and blue dots. In the lower-left, a browser window displays the OSF interface with a video player overlay. On the right, a registration form is shown with fields for Full name, Contact email, Confirm email, and Password. Below the form is a checkbox for "I have read and agree to the Terms of Use and Privacy Policy.", an "I'm not a robot" checkbox, and a reCAPTCHA widget.

OSFHOME

Search Support Donate Sign Up Sign In

## Open Science Framework

A scholarly commons to connect the entire research cycle

FREE AND OPEN SOURCE. START NOW.

Full name

Contact email

Confirm email

Password

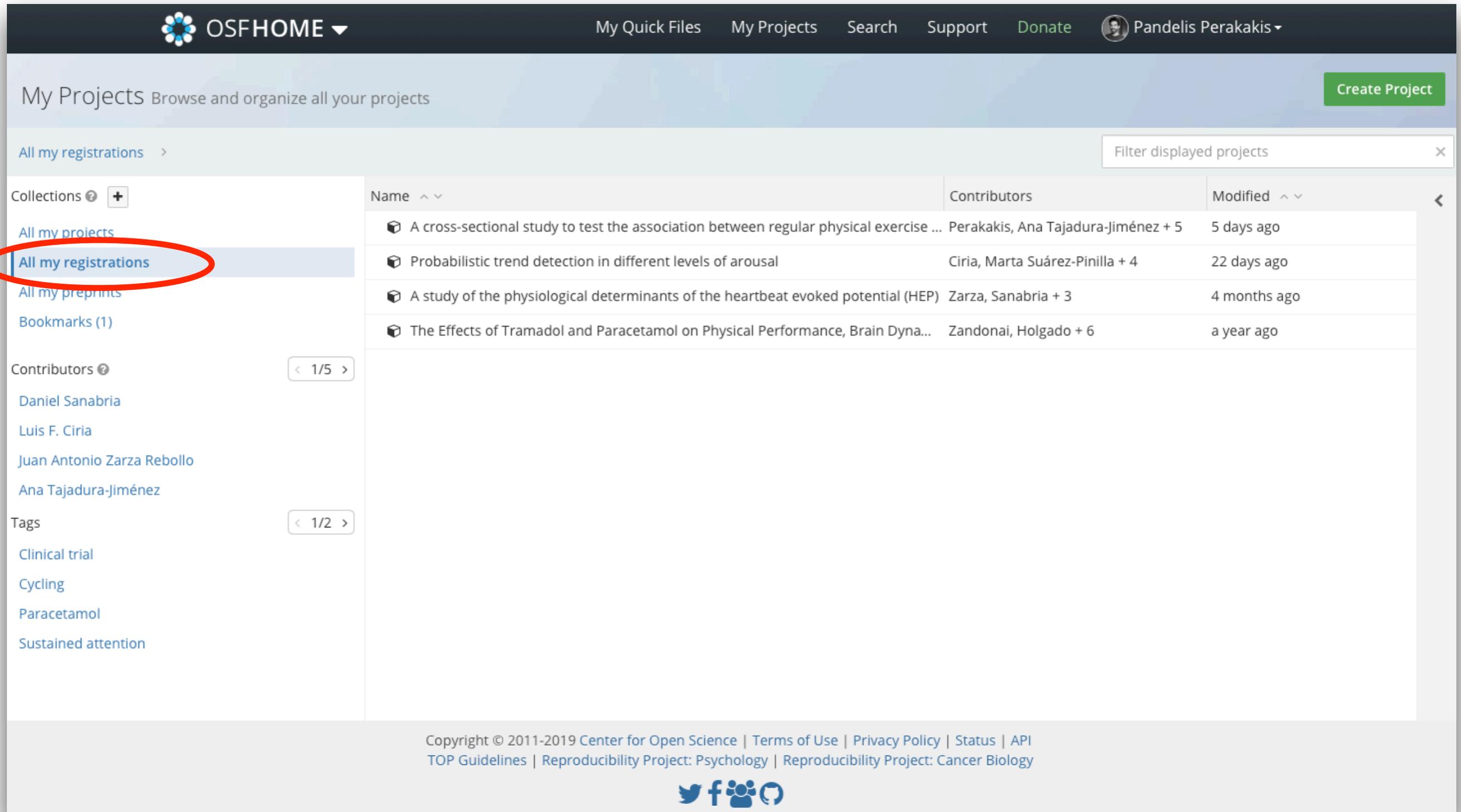
I have read and agree to the [Terms of Use](#) and [Privacy Policy](#).

I'm not a robot

reCAPTCHA  
Privacy - Terms

<https://osf.io>

# Preregistration in practice



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My Projects Browse and organize all your projects [Create Project](#)

All my registrations >

Name ^ v	Contributors	Modified ^ v
A cross-sectional study to test the association between regular physical exercise ...	Perakakis, Ana Tajadura-Jiménez + 5	5 days ago
Probabilistic trend detection in different levels of arousal	Ciria, Marta Suárez-Pinilla + 4	22 days ago
A study of the physiological determinants of the heartbeat evoked potential (HEP)	Zarza, Sanabria + 3	4 months ago
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Contributors > 1/5 >

Tags > 1/2 >

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# Preregistration in practice

The screenshot shows the OSF Registries interface for a study. The top navigation bar includes the OSF Registries logo, a search bar, and links for Help, Donate, and a user profile. The study title is 'Probabilistic trend detection in different levels of arousal', with a status of 'Public' and 0 preprints. The left sidebar contains navigation options: Overview (selected), Files, Wiki (0), Components (0), Links (0), Analytics, and Comments (0). The main content area is divided into three columns: Study Information, Contents, and Contributors. The Study Information column includes sections for Title, Authors, and Research Questions. The Contents column lists various sections like Study Information, Title, Authors, Research Questions, Hypotheses, Sampling Plan, Existing Data, Explanation of existing data, Data collection procedures, Sample size, and Sample size rationale. The Contributors column lists the authors: Luis F. Ciria, Marta Suárez-Pinilla, Alex G. Williams, Pandelis Perakakis, Daniel Sanabria, and Tristán Bekinschtein. Other details include Description (No description given), Registration type (Prereg Challenge), Date registered (May 20, 2019), Date created (May 20, 2019), Registered from (osf.io/xk379), Category (Project), Registration DOI, Affiliated institutions (None), License (No license), and Tags (No tags).

OSF REGISTRIES

Probabilistic trend detection in different levels of arousal

Public 0

Overview

Files

Wiki 0

Components 0

Links 0

Analytics

Comments 0

### Study Information

**Title**  
*Provide the working title of your study. It is not the title you will submit for publication of your final manuscript.*

Arousal modulation of strategic behavior task

**Authors**  
*The author who submits the preregistration must also be an author of the published manuscript or removed at any time.*

Luis F. Ciria, Marta Suárez-Pinilla, Alex G. Williams, Pandelis Perakakis, Daniel Sanabria, Tristán Bekinschtein

**Research Questions**  
*Please list each research question included in this study.*

We aim to investigate the management of probabilistic information under different levels of arousal to accurately detect changes in decision-making patterns during a stream of conflicting evidence.

To this end, we analyze data sets from three studies manipulating endogenously the arousal level facilitating natural transition of participants from awake to sleep, or instructing them to exercise during 60' at the highest intensity and effort they could maintain without reaching premature extenuation of participants. A probabilistic reversal learning task was assessed continuously during the arousal modulation. In probabilistic reversal learning task, participants are instructed to infer an abstract rule

### Contents

- Study Information
- Title
- Authors
- Research Questions
- Hypotheses
- Sampling Plan
- Existing Data
- Explanation of existing data
- Data collection procedures
- Sample size
- Sample size rationale

### Contributors

Luis F. Ciria, Marta Suárez-Pinilla, Alex G. Williams, Pandelis Perakakis, Daniel Sanabria, and Tristán Bekinschtein

### Description

No description given.

### Registration type

Prereg Challenge

### Date registered

May 20, 2019

### Date created

May 20, 2019

### Registered from

[osf.io/xk379](https://osf.io/xk379)

### Category

Project

### Registration DOI

### Affiliated institutions

This registration has no affiliated institutions

### License

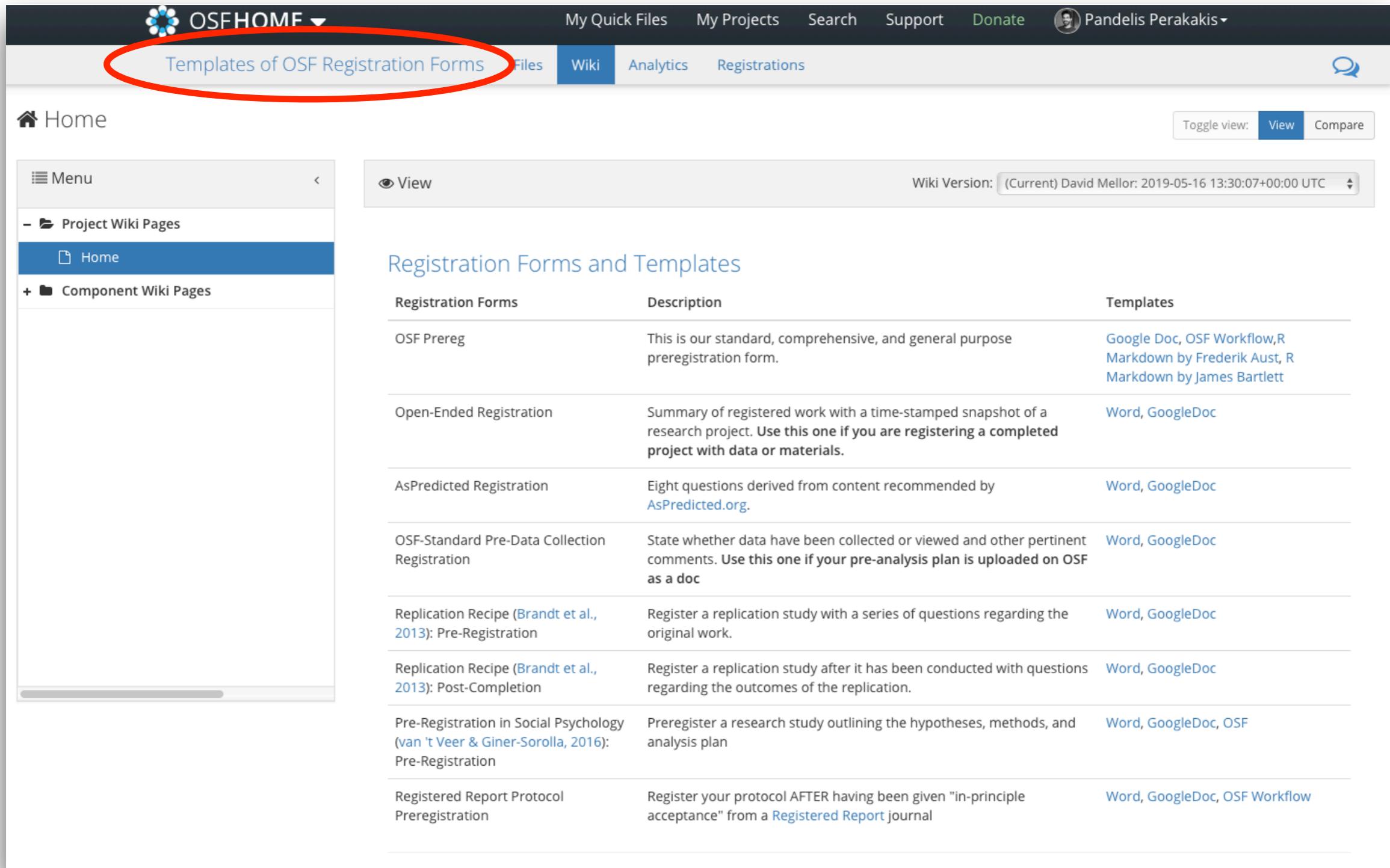
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### Tags

No tags

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# Preregistration in practice



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Templates of OSF Registration Forms Files Wiki Analytics Registrations

Home

Toggle view: View Compare

View Wiki Version: (Current) David Mellor: 2019-05-16 13:30:07+00:00 UTC

## Registration Forms and Templates

Registration Forms	Description	Templates
OSF Prereg	This is our standard, comprehensive, and general purpose preregistration form.	<a href="#">Google Doc</a> , <a href="#">OSF Workflow</a> , <a href="#">R Markdown by Frederik Aust</a> , <a href="#">R Markdown by James Bartlett</a>
Open-Ended Registration	Summary of registered work with a time-stamped snapshot of a research project. <b>Use this one if you are registering a completed project with data or materials.</b>	<a href="#">Word</a> , <a href="#">GoogleDoc</a>
AsPredicted Registration	Eight questions derived from content recommended by <a href="#">AsPredicted.org</a> .	<a href="#">Word</a> , <a href="#">GoogleDoc</a>
OSF-Standard Pre-Data Collection Registration	State whether data have been collected or viewed and other pertinent comments. <b>Use this one if your pre-analysis plan is uploaded on OSF as a doc</b>	<a href="#">Word</a> , <a href="#">GoogleDoc</a>
Replication Recipe ( <a href="#">Brandt et al., 2013</a> ): Pre-Registration	Register a replication study with a series of questions regarding the original work.	<a href="#">Word</a> , <a href="#">GoogleDoc</a>
Replication Recipe ( <a href="#">Brandt et al., 2013</a> ): Post-Completion	Register a replication study after it has been conducted with questions regarding the outcomes of the replication.	<a href="#">Word</a> , <a href="#">GoogleDoc</a>
Pre-Registration in Social Psychology ( <a href="#">van 't Veer &amp; Giner-Sorolla, 2016</a> ): Pre-Registration	Preregister a research study outlining the hypotheses, methods, and analysis plan	<a href="#">Word</a> , <a href="#">GoogleDoc</a> , <a href="#">OSF</a>
Registered Report Protocol Preregistration	Register your protocol AFTER having been given "in-principle acceptance" from a <a href="#">Registered Report</a> journal	<a href="#">Word</a> , <a href="#">GoogleDoc</a> , <a href="#">OSF Workflow</a>

<https://osf.io/zab38/wiki/home/>

# Incentives for preregistration

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## **Preregistration Challenge**

<http://cos.io/prereg/>

An education campaign for preregistration with \$1,000 awards to 1,000 scientists for publishing the results of preregistered research.

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## **Preregistration Badges**

<http://cos.io/badges/>

Signals of preregistered research in published articles offered by Psychological Science, Clinical Psychological Science, and xx other psychology journals. In 2015, four Psychological Science papers earn a preregistration badge; in 2016, three did; and in 2017, 19 did.

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## **Registered Reports**

<http://cos.io/rr/>

A publishing model in which peer review occurs prior to conducting the research. Offered by APS journals Advances in Methods and Practices in Psychological Science, Psychological Science, and more than 85 other journals.

# Badges

*Research Report*  

## Writing a Sample Article

And Writing Other Things Too

Alfred R. Wallace<sup>1</sup> and Charles R. Darwin<sup>2</sup>

<sup>1</sup>Collegiate School, Leicester, England, and <sup>2</sup>University of Edinburgh Medical School, Edinburgh, Scotland

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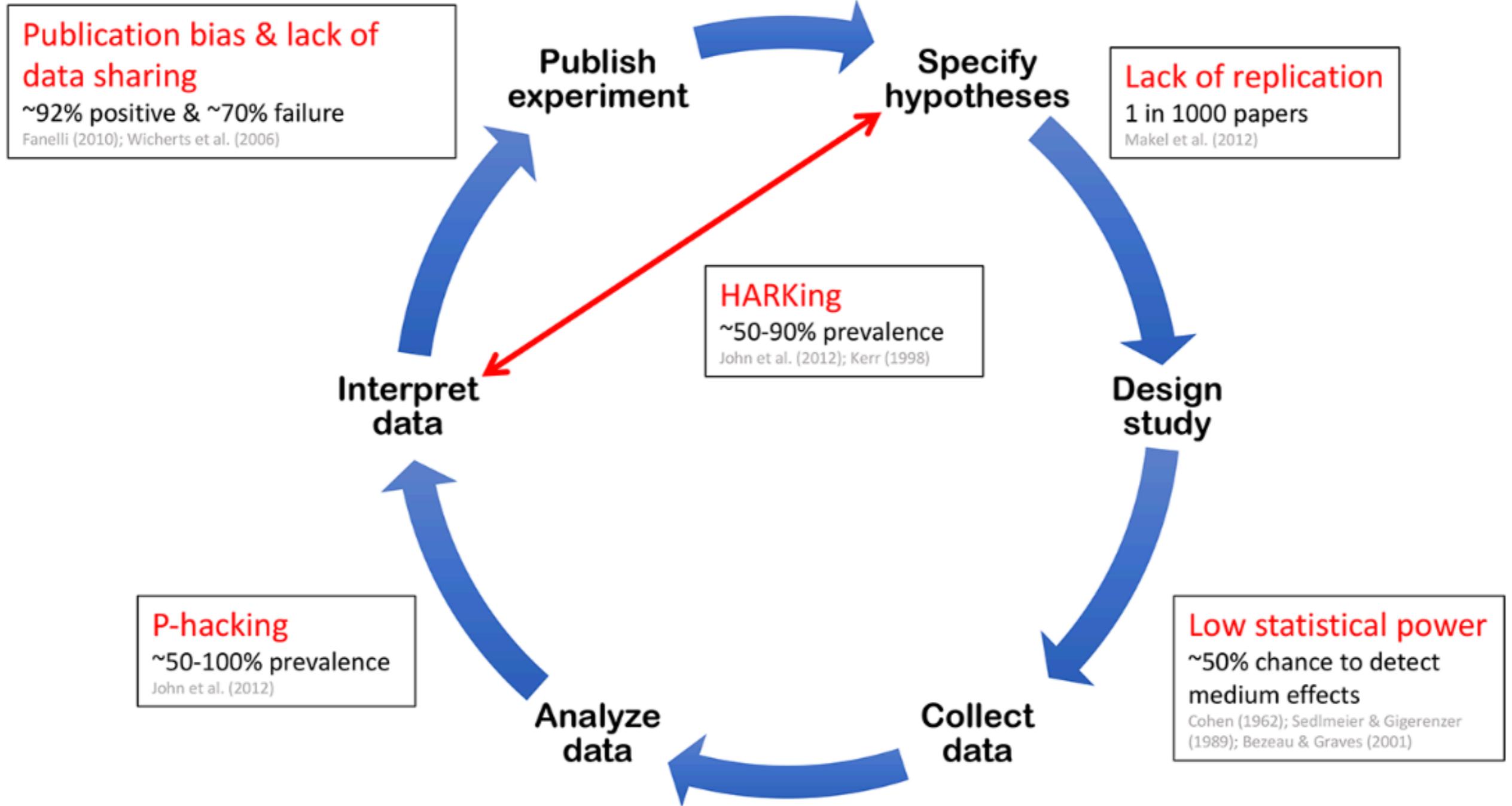
Figure 1. Badges earned for open practices: Open Data, Open Materials, and Preregistered. Experiment materials and data are available at <http://dvn.iq.harvard.edu/dvn/study?globalId=hdl:1902.1/18708>, and the preregistered design and analysis plan is accessible at <http://openscienceframework.org/project/TVyXZ/>

# Registered Reports



<https://cos.io/rr/>

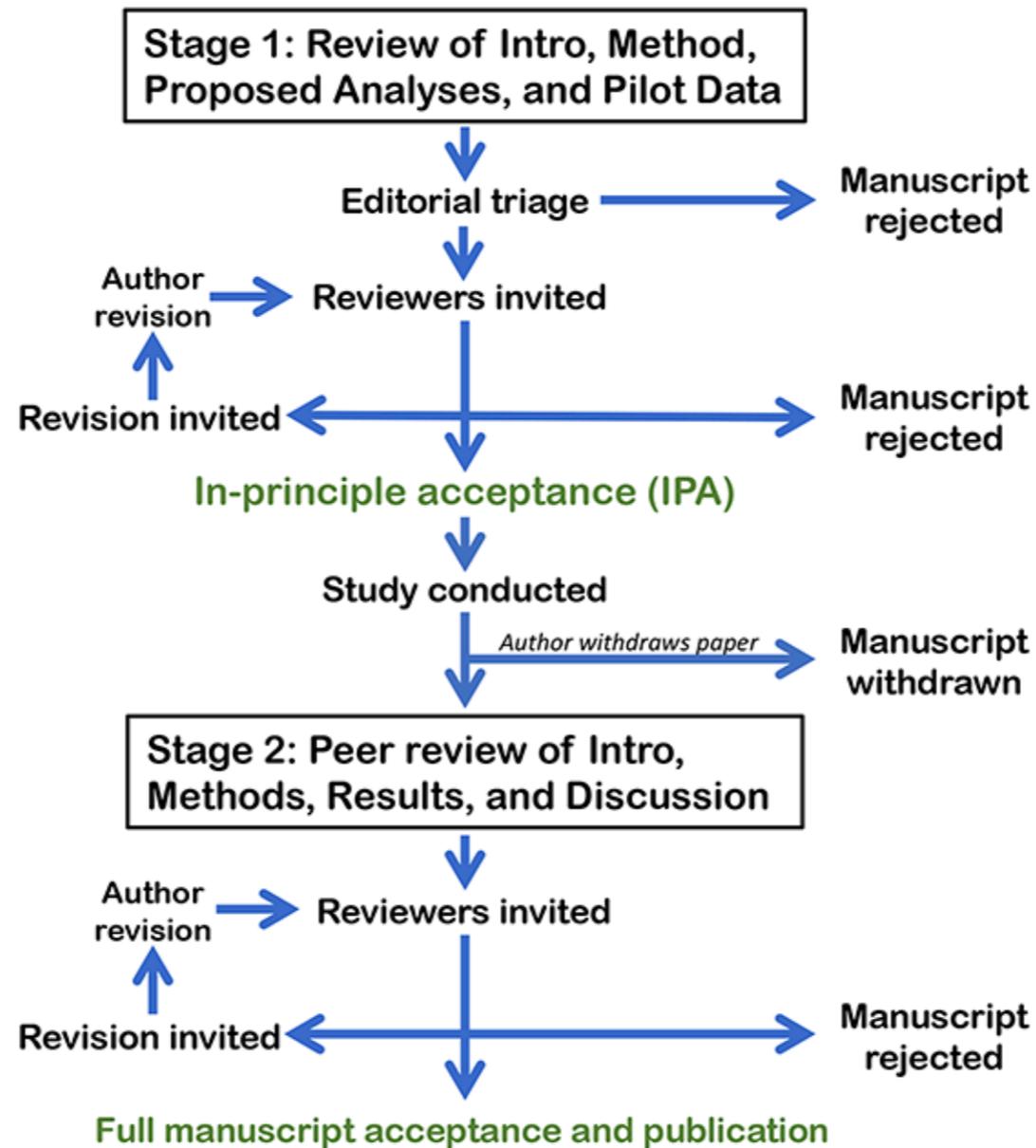
# Registered Reports



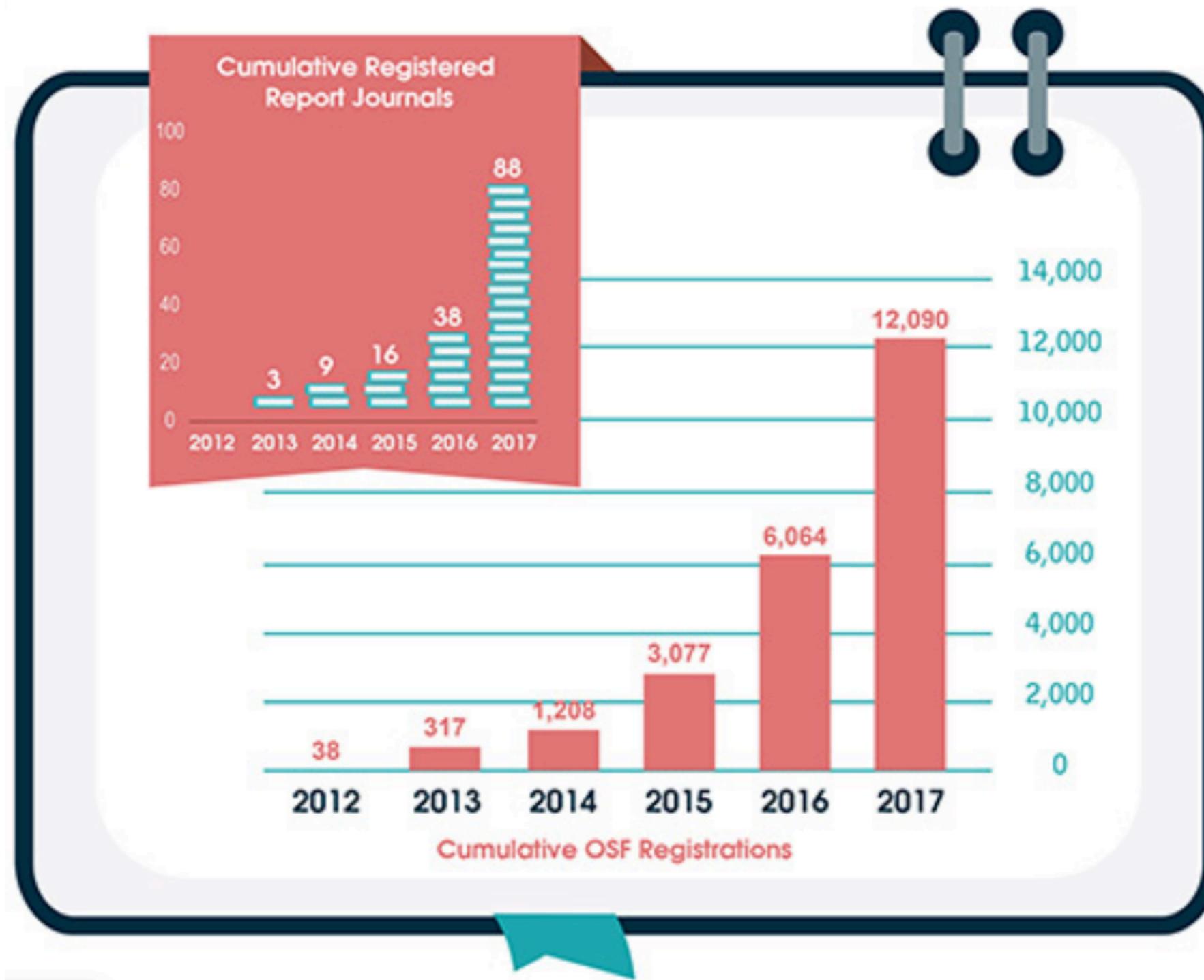
<https://cos.io/rr/>

# Registered Reports

Registered Report Workflow Diagram



<https://cos.io/rr/>



**Nosek, B. A. & Lindsay, D. S. Preregistration becoming the norm in psychological science. *APS Obs.* 31, (2018).**

# First analysis of 'pre-registered' studies shows sharp rise in null findings

Logging hypotheses and protocols before performing research seems to work as intended: to reduce publication bias for positive results.

30 October 2018

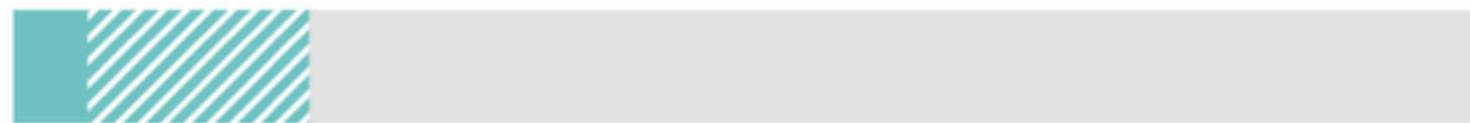
Matthew Warren

nature

## REGISTERED REPORTS CUT PUBLICATION BIAS

Pre-registering research protocols in a 'registered reports' format could lead to less publication bias skewed towards positive results. Studies that pre-register their protocols publish more negative findings that don't support their hypothesis, than those that don't.

### HYPOTHESES NOT SUPPORTED BY RESEARCH PAPERS (%)



Estimates from general literature **5–20%**

Registered reports for novel studies **55%\***

Registered reports for replication studies **66%\***

RESEARCH ARTICLE

# Likelihood of Null Effects of Large NHLBI Clinical Trials Has Increased over Time

Robert M. Kaplan<sup>1\*</sup>, Veronica L. Irvin<sup>2</sup>

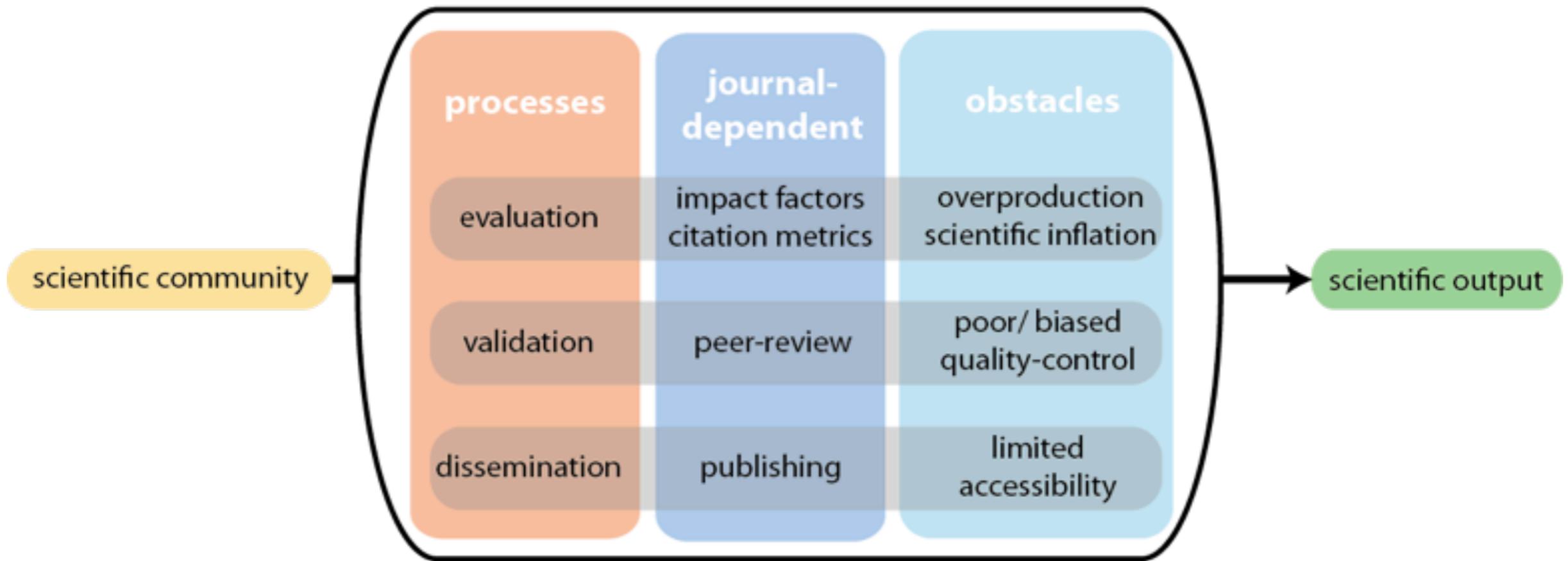
<sup>1</sup> Agency for Healthcare Research and Quality, U.S. Department of Health and Human Services, Rockville, Maryland, United States of America, <sup>2</sup> Oregon State University, Corvallis, Oregon, United States of America

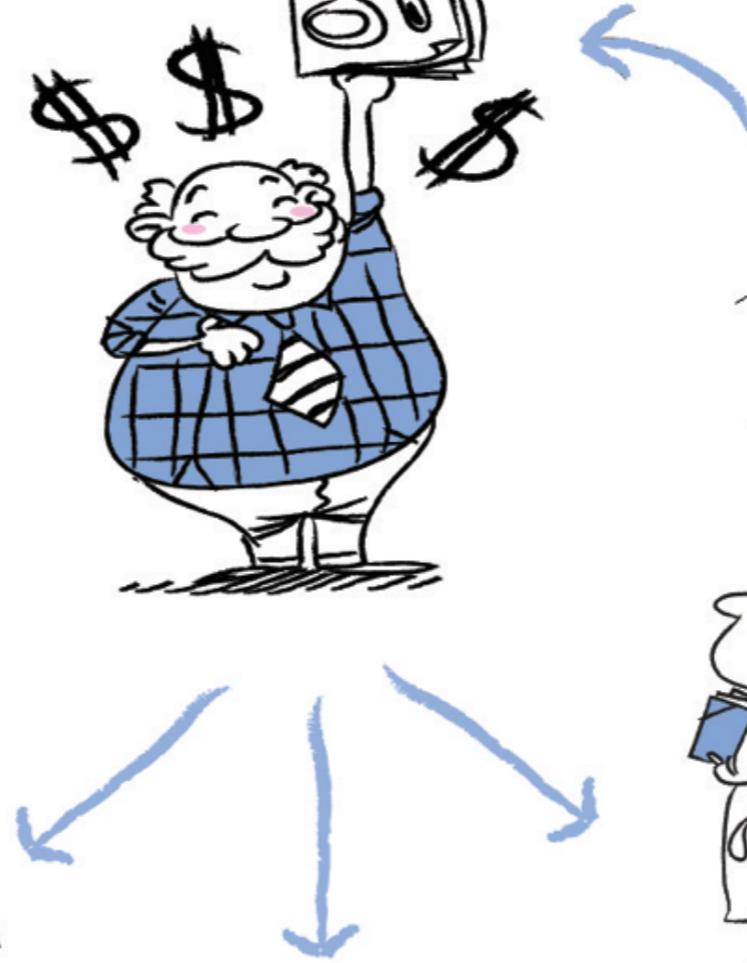
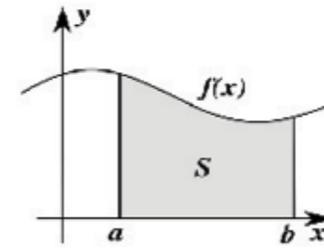
**Number of studies finding medical interventions effective before preregistration introduced:  
17/30 (55%)**

**Afterwards:  
2/25 (8%)**

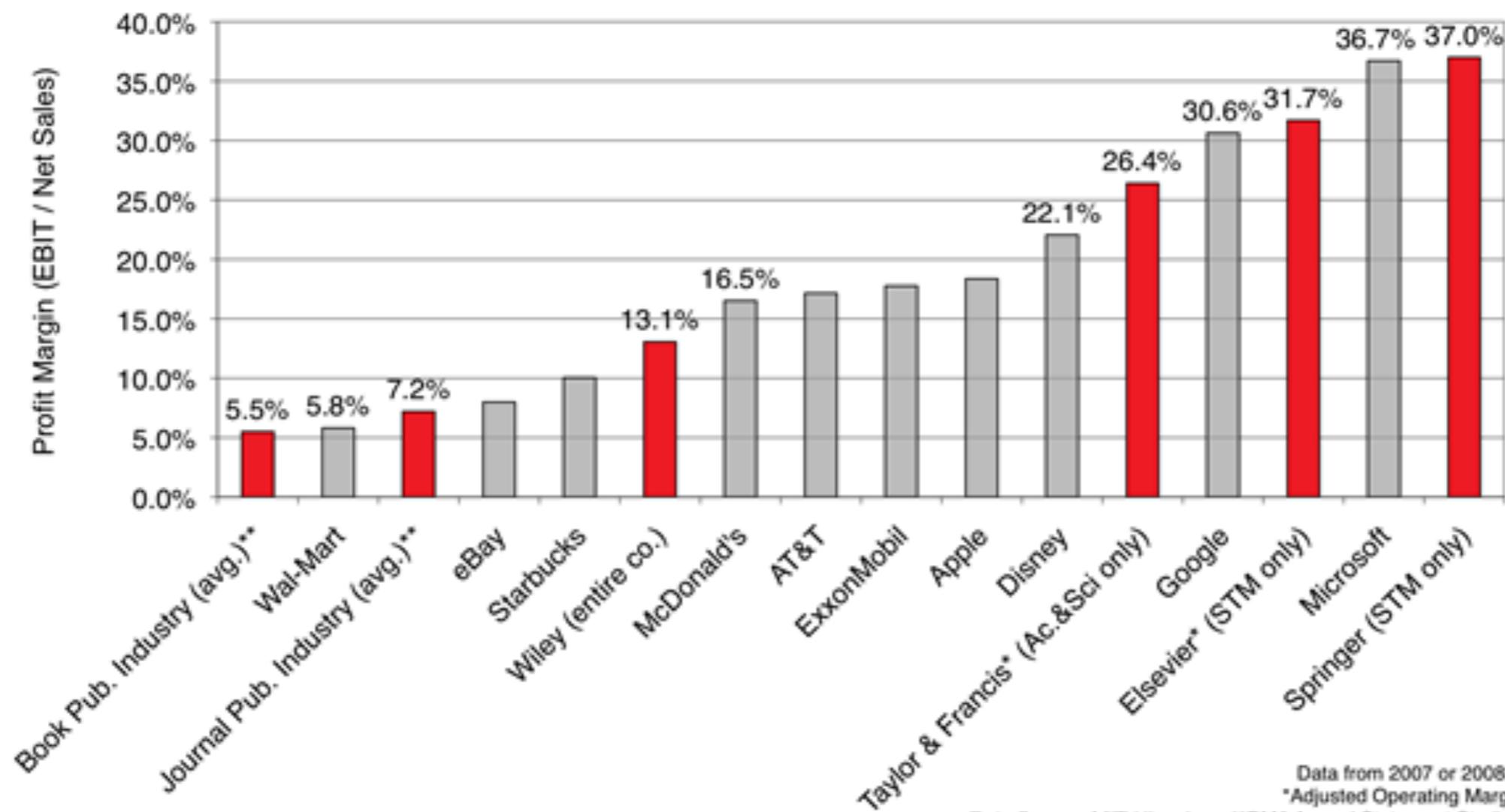
V. Systemic causes (research validation, evaluation and communication)

# scholarly communication model





### Profit Margins: Journal Publishers v. Other Companies



Data from 2007 or 2008.  
 \*Adjusted Operating Margin  
 Data Source: MIT Libraries \*\*RMA Annual Statement Studies, 2007

## 19th century scientist

I must find the  
explanation for this  
phenomenon in order  
to truly understand  
Nature...



## 21st centurt ~~scientist~~ academic

I must get the  
result that fits my  
narrative so I can  
get my paper into  
Nature..



facebook.com/pedromics

[Perakakis, P. Open scientists in the shoes of frustrated academics part I: Open-minded scepticism. EuroScientist \(2017\).](#)

<b><u>Scientist</u></b>	<b><u>Academic</u></b>
Open-minded	Content journal editors
Sceptical	No replications or negative results
Consider all data	Inaccessible articles, data, software code
Collaborate	Compete for scarce resources
No investment in the outcome	p-hacking

**[Perakakis, P. Open scientists in the shoes of frustrated academics part I: Open-minded scepticism. EuroScientist \(2017\).](#)**

Dissemination

# Budapest Open Access Initiative

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[BOAI10  
Recommendations](#)

The recommendations were developed by [leaders of the Open Access movement](#), which has worked for the past decade to provide the public with unrestricted, free access to scholarly research—much of which is publicly funded. Making the research publicly available to everyone—free of charge and without most copyright and licensing restrictions—will accelerate scientific research efforts and allow authors to reach a larger number of readers.

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## Budapest Open Access Initiative

In response to the growing demand to make research free and available to everyone with a computer and an internet connection, a diverse coalition has issued [new guidelines](#) that could usher in huge advances in the sciences, medicine, and health.

The recommendations are the result of a meeting organized by the Open Society Foundations to mark the [tenth anniversary](#) of [Budapest Open Access Initiative](#), which first coined Open Access. The recommendations include the development of Open Access policies in institutions of higher education and in funding agencies, the open licensing of scholarly works, the development of infrastructure such as Open Access repositories and creating standards of professional conduct for Open Access publishing. The recommendations also establish a new goal of achieving Open Access as the default method for distributing new peer-reviewed research in every field and in every country within ten years' time.

[Translations of the recommendations](#) have already been made in several languages, with more to follow.

For more on the recommendations, please see the [press release](#) as well as a [blog post](#) by Peter Suber which provides additional background on the Open Access movement.

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# The two roads to Open Access

Green

To achieve open access to scholarly journal literature, we recommend two complementary strategies.

**I. Self-Archiving:** First, scholars need the tools and assistance to deposit their refereed journal articles in open electronic archives, a practice commonly called, self-archiving. When these archives conform to standards created by the Open Archives Initiative, then search engines and other tools can treat the separate archives as one. Users then need not know which archives exist or where they are located in order to find and make use of their contents.

**II. Open-access Journals:** Second, scholars need the means to launch a new generation of journals committed to open access, and to help existing journals that elect to make the transition to open access. Because journal articles should be disseminated as widely as possible, these new journals will no longer invoke copyright to restrict access to and use of the material they publish. Instead they will use copyright and other tools to ensure permanent open access to all the articles they publish. Because price is a barrier to access, these new journals will not charge subscription or access fees, and will turn to other methods for covering their expenses. There are many alternative sources of funds for this purpose, including the foundations and governments that fund research, the universities and laboratories that employ researchers, endowments set up by discipline or institution, friends of the cause of open access, profits from the sale of add-ons to the basic texts, funds freed up by the demise or cancellation of journals charging traditional subscription or access fees, or even contributions from the researchers themselves. There is no need to favor one of these solutions over the others for all disciplines or nations, and no need to stop looking for other, creative alternatives.

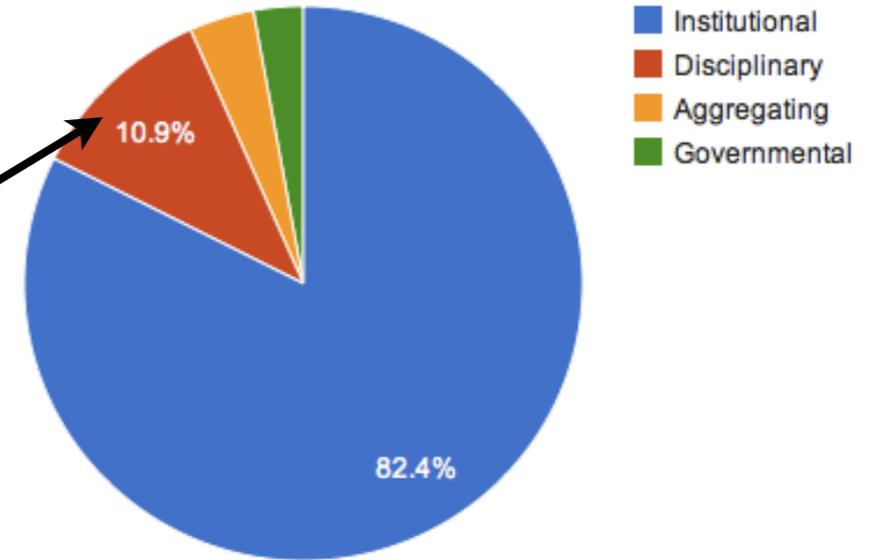
Gold

# green repositories

*OpenDOAR*



Open Access Repository Types - Worldwide



Total = 2532 repositories

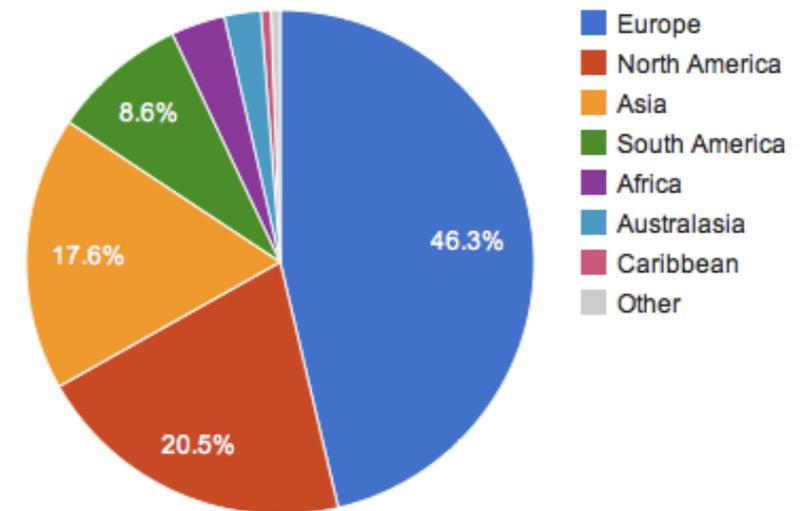
OpenDOAR - 01-Dec-2013

Growth of the OpenDOAR Database - Worldwide

OpenDOAR - 01-Dec-2013



Proportion of Repositories by Continent - Worldwide



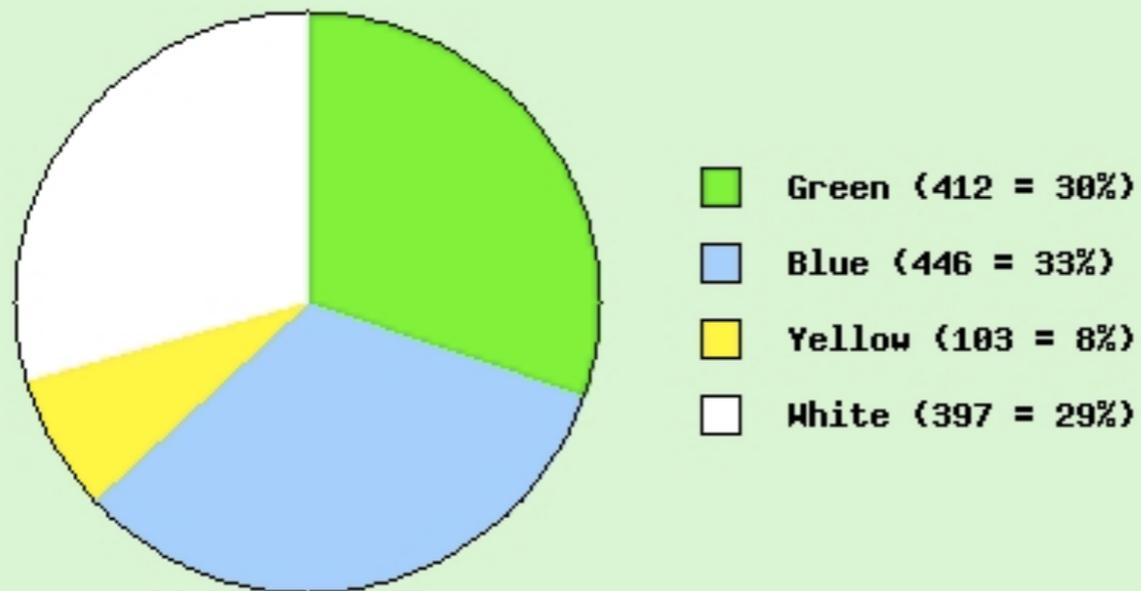
Total = 2532 repositories

OpenDOAR - 01-Dec-2013

<http://www.opendoar.org/>

Summary: **71%** of publishers on this list formally **allow** some form of self-archiving.

SHERPA/RoMEO Colours, excluding provisional policies



SHERPA/RoMEO 01-Dec-2013

Total = 1358 publishers

RoMEO colour	Archiving policy
<b>GREEN</b>	Can archive pre-print and post-print
<b>BLUE</b>	Can archive post-print
<b>YELLOW</b>	Can archive pre-print
<b>WHITE</b>	Archiving not formally supported



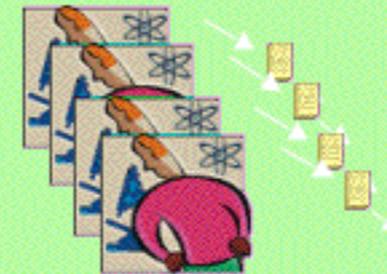
# The two open-access strategies: **Gold** and **Green**

## Open-Access Publishing (OApub) (BOAI-2)

1. Create or Convert 23,000 open-access journals (1000 exist currently)
2. Find funding support for open-access publication costs (\$500-\$1500+)
3. Persuade the authors of the annual 2,500,000 articles to publish in new open-access journals instead of the existing toll-access journals

## Open-Access Self-Archiving (OAarch) (BOAI-1)

1. Persuade the authors of the annual 2,500,000 articles they publish in the existing toll-access journals to also self-archive them in their institutional open-access archives.



Why gold then???

# How publishers think...



Whatever one may think about the relative merits of Green and Gold OA (a matter that my colleagues on the Kitchen and myself have discussed numerous times) or the economic implications of embargoes of various lengths, what is clear is that Green OA has no promise of delivering augmented revenues to the publisher, but Gold OA opens up a new customer, the author him or herself, who in many instances pays for the article to be OA. Gold OA, in other words, represents a business opportunity, whereas Green OA represents a business problem.

Thus we have the emergence of a relatively new market, where publishers fight to collect fees from this new class of customers: authors. How to compete is another matter. Most traditional publishers rely on the strength of their brands to bring the articles in. This is most obvious in cascading peer review, where the established publication represents the wide end of the marketing funnel and the Gold OA venues sit at the narrow end. (It's worth remembering that this model works for purely toll-access publications as well, as the enormous success of *Nature's* line-extension proves.) Other publishers focus on metrics of different kinds and boast of their Web-friendly tools for submission, discovery, and dissemination. As one would expect, wherever there is competition, the matter of pricing comes up. And here the established publisher may have a problem.



#### About Joseph Esposito

I am a management consultant working primarily in the world of digital media, software, and publishing. My clients include both for-profits and not-for-profits. A good deal of my activity concerns research publishing, especially when the matter at issue has to do with the migration to digital services from a print background. Prior to setting up my consulting business, I served as CEO of three companies (Encyclopaedia Britannica, Tribal Voice, and SRI Consulting), all of which I led to successful exits. Typically I work on strategy issues, advising CEOs and Boards of Directors on direction; I also have managed a number of sticky turnarounds. Among other things, I have been the recipient of grants from the Mellon, MacArthur, and Hewlett Foundations, all concerning research into new aspects of publishing.

[View all posts by Joseph Esposito »](#)

# Money is power!!!



“The Finch Report is a successful case of lobbying by publishers to protect the interests of publishing at the expense of the interests of research and the public that funds research,” argues University of Southampton cognitive scientist Stevan Harnad. “The Finch Report proposes doing precisely what the US Research Works

We therefore recommend that:

- i. a clear policy direction should be set towards support for publication in open access or hybrid journals, funded by APCs, as the main vehicle for the publication of research, especially when it is publicly funded;

*Key actions: overall policy and funding arrangements*

- i. Make a clear commitment to support the costs of an innovative and sustainable research communications system, with a clear preference for publication in open access or hybrid journals. (*Government, Research Councils, Funding Councils, universities*)

Validation

# The peer review drugs don't work

A process at the heart of science is based on faith rather than evidence, says Richard Smith, and vested interests keep it in place

Peer review is supposed to be the quality assurance system for science, weeding out the scientifically unreliable and reassuring readers of journals that they can trust what they are reading. In reality, however, it is ineffective, largely a lottery, anti-innovatory, slow, expensive, wasteful of scientific time, inefficient, easily abused, prone to bias, unable to detect fraud and irrelevant.

Perhaps the biggest argument against the peer review of completed studies is that it simply isn't needed. With the World Wide Web everything can be published, and the world can decide what's important and what isn't. This proposition strikes terror into many hearts, but with so much poor-quality science published what do we have to lose?

**Richard Smith, former *British Medical Journal* editor**

**May 28, 2015**

# Effects of Editorial Peer Review

## A Systematic Review

---

Tom Jefferson, MD

---

Philip Alderson, MBChB

---

Elizabeth Wager, MA

---

Frank Davidoff, MD

---

**Conclusions** Editorial peer review, although widely used, is largely untested and its effects are uncertain.

*JAMA. 2002;287:2784-2786*

[www.jama.com](http://www.jama.com)



Trusted evidence.  
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Better health.

## Editorial peer review for improving the quality of reports of biomedical studies

**Published:**

18 April 2007

**Authors:**

Jefferson T, Rudin M, Brodney

Folse S, Davidoff F

**Authors' conclusions:**

At present, little empirical evidence is available to support the use of editorial peer review as a mechanism to ensure quality of biomedical research.

News Feature

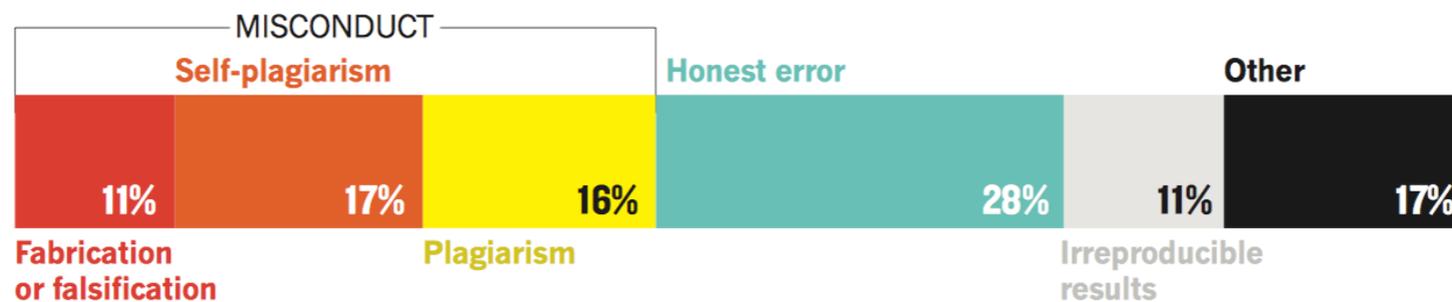
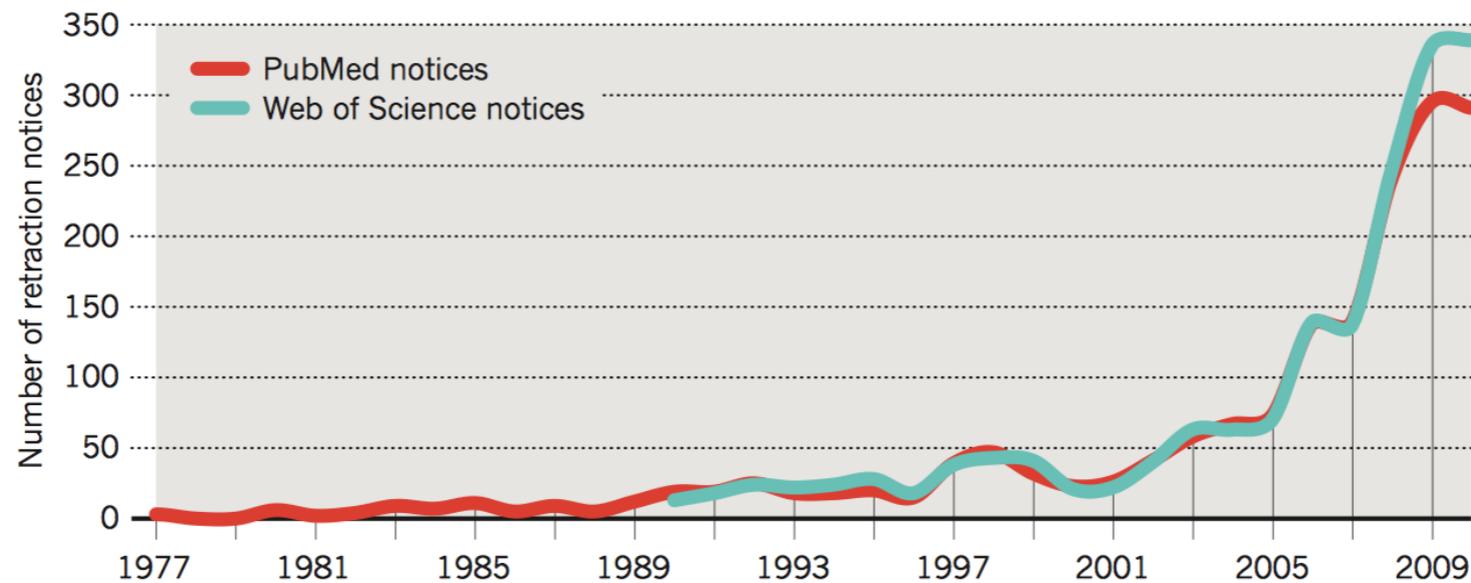
# Science publishing: The trouble with retractions

**A surge in withdrawn papers is highlighting weaknesses in the system for handling them.**

Richard Van Noorden

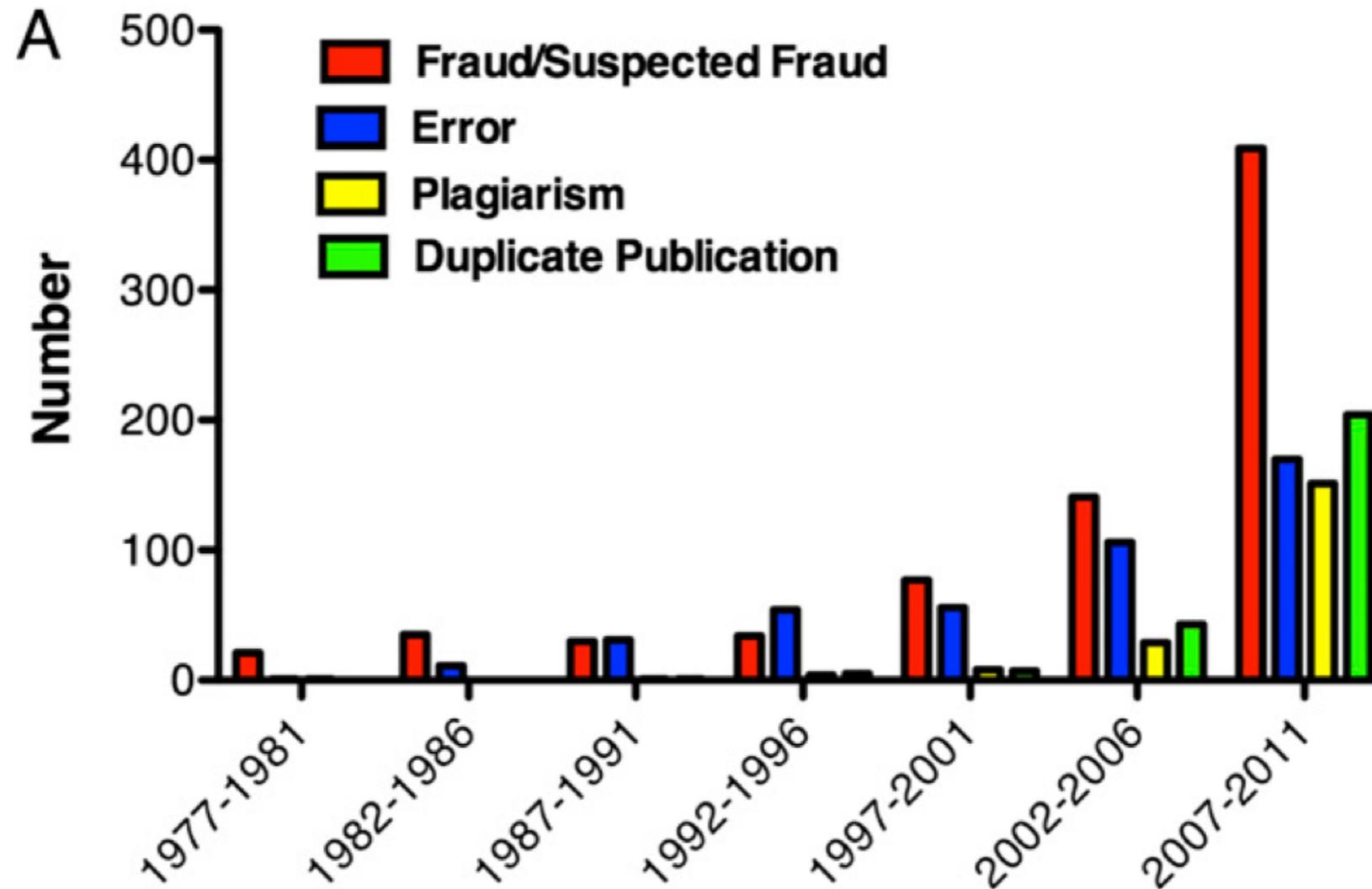
## RISE OF THE RETRACTIONS

In the past decade, the number of retraction notices has shot up 10-fold (**top**), even as the literature has expanded by only 44%. It is likely that only about half of all retractions are for researcher misconduct (**middle**). Higher-impact journals have logged more retraction notices over the past decade, but much of the increase during 2006–10 came from lower-impact journals (**bottom**).



# Misconduct accounts for the majority of retracted scientific publications

Ferric C. Fang<sup>a,b,1</sup>, R. Grant Steen<sup>c,1</sup>, and Arturo Casadevall<sup>d,1,2</sup>



# Drug development: Raise standards for preclinical cancer research

C. Glenn Begley & Lee M. Ellis

**85 per cent of preclinical studies could not be replicated**

## **Building a stronger system**

What reasons underlie the publication of erroneous, selective or irreproducible data? The academic system and peer-review process tolerates and perhaps even inadvertently encourages such conduct<sup>5</sup>. To obtain funding, a job, promotion or tenure, researchers need a strong publication record, often including a first-authored high-impact publication. Journal editors, reviewers and grant-review committees often look for a scientific finding that is simple, clear and complete — a 'perfect' story. It is therefore tempting for investigators to submit selected data sets for publication, or even to massage data to fit the underlying hypothesis.

*Nature* **483**, 531–533 (29 March 2012) | doi:10.1038/483531a

Published online 28 March 2012

*Jointly published by Akadémiai Kiadó, Budapest  
and Springer, Dordrecht*

*Scientometrics, Vol. 81, No. 2 (2009) 549–565*

DOI: 10.1007/s11192-008-2141-5

# **Rejecting and resisting Nobel class discoveries: accounts by Nobel Laureates**

JUAN MIGUEL CAMPANARIO

*Departamento de Física, Universidad de Alcalá, 28871 Alcalá de Henares, Madrid, Spain*

I review and discuss instances in which 19 future Nobel Laureates encountered resistance on the part of the scientific community towards their discoveries, and instances in which 24 future Nobel Laureates encountered resistance on the part of scientific journal editors or referees to manuscripts that dealt with discoveries that later would earn them the Nobel Prize.

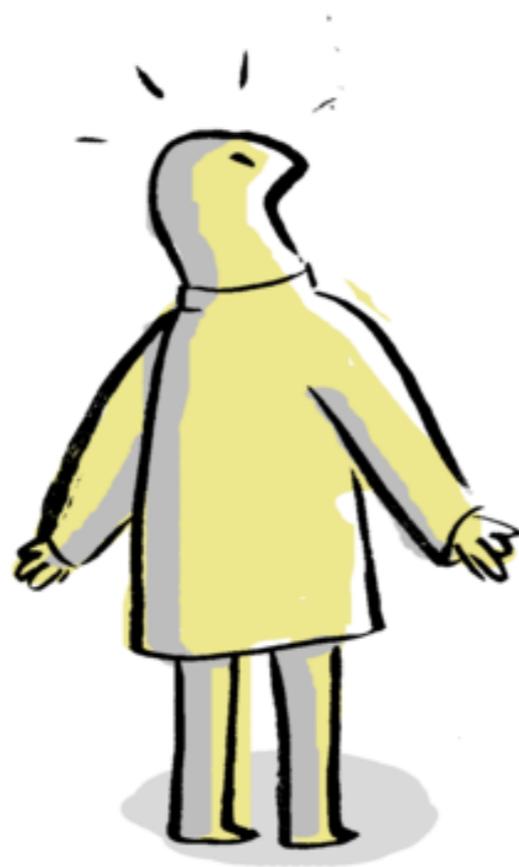
Evaluation

# THE EVOLUTION OF ACADEMIA

PUBLISH



PUBLISH  
OR  
PERISH



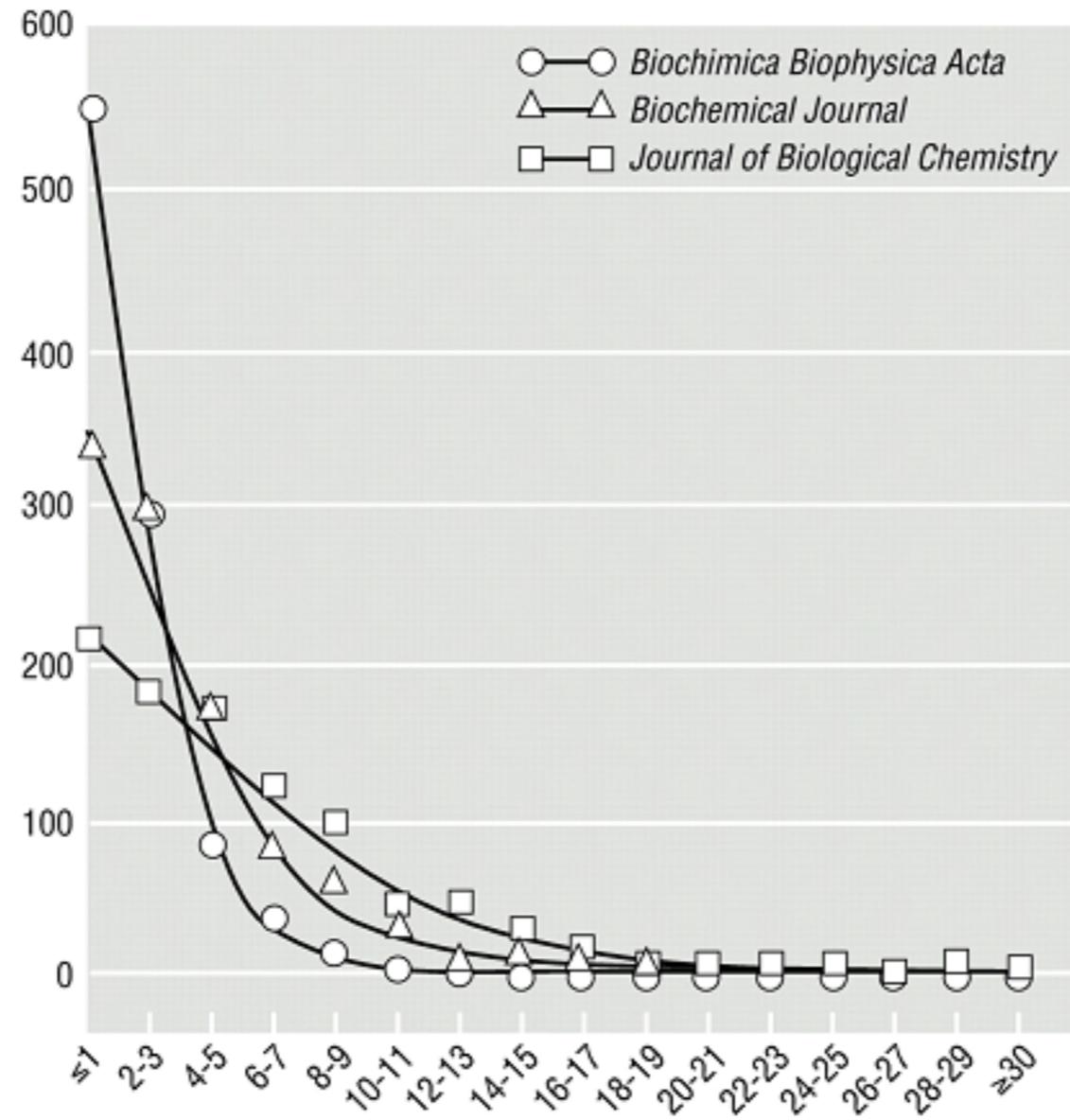
PUBLISH  
IN HIGH IMPACT  
JOURNALS  
OR  
PERISH



PUBLISH  
FREQUENTLY IN  
HIGH IMPACT  
JOURNALS  
AND  
MAYBE  
YOU WON'T  
PERISH



# The IF as a measure of article quality



# University rankings

## Indicators and Weights for ARWU

Criteria	Indicator	Code	Weight
Quality of Education	Alumni of an institution winning Nobel Prizes and Fields Medals	Alumni	10%
Quality of Faculty	Staff of an institution winning Nobel Prizes and Fields Medals	Award	20%
	Highly cited researchers in 21 broad subject categories	HiCi	20%
Research Output	Papers published in Nature and Science*	N&S	20%
	Papers indexed in Science Citation Index-expanded and Social Science Citation Index	S&S	20%
Per Capita Performance	Per capita academic performance of an institution	PCP	10%
Total			100%

\* For institutions specialized in humanities and social sciences such as London School of Economics, N&S is not considered, and the weight of N&S is relocated to other indicators.

# High Impact = High Statistical Standards? Not Necessarily So

Patrizio E. Tressoldi , David Giofré, Francesco Sella, Geoff Cumming

Published: February 13, 2013 • <http://dx.doi.org/10.1371/journal.pone.0056180>

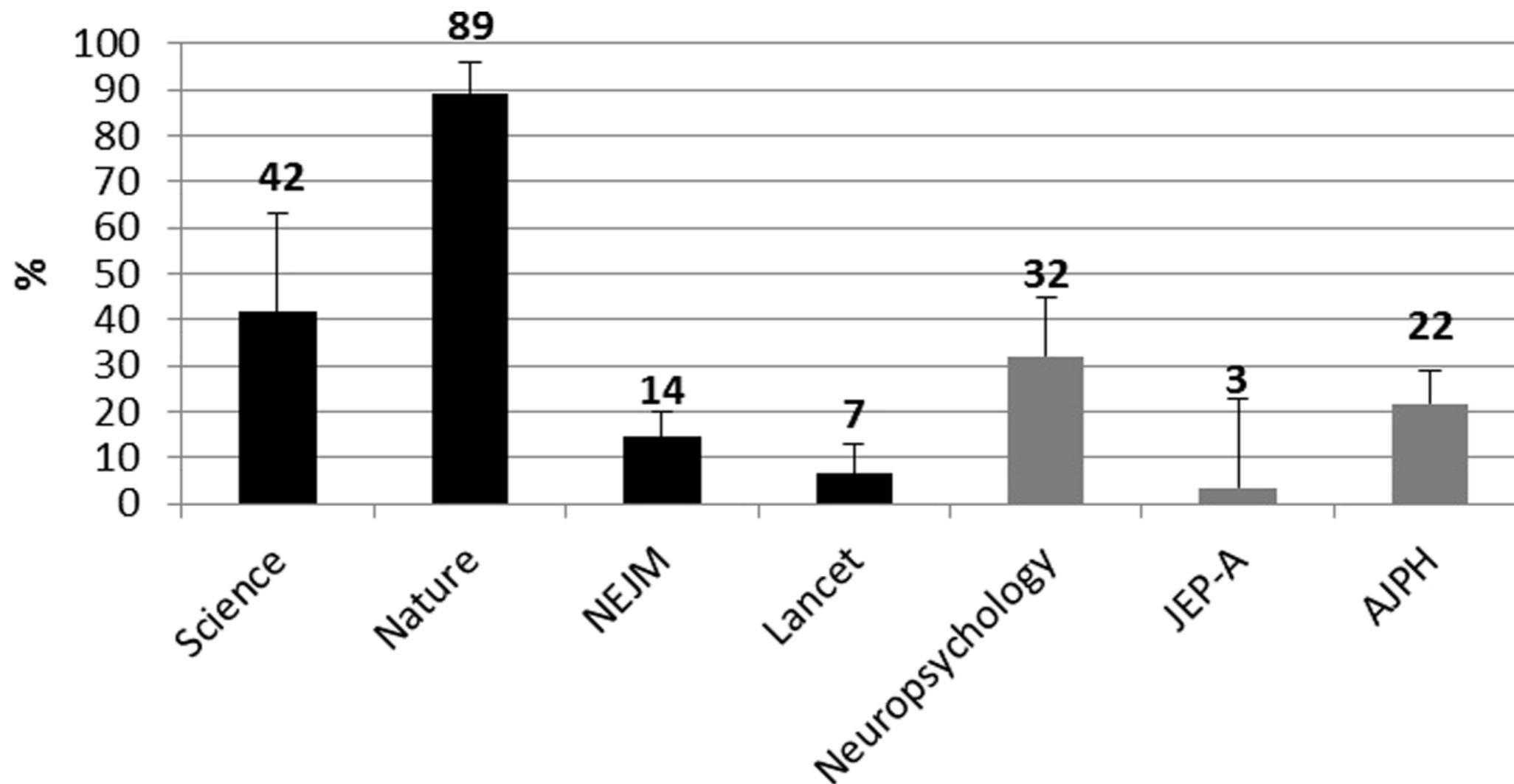
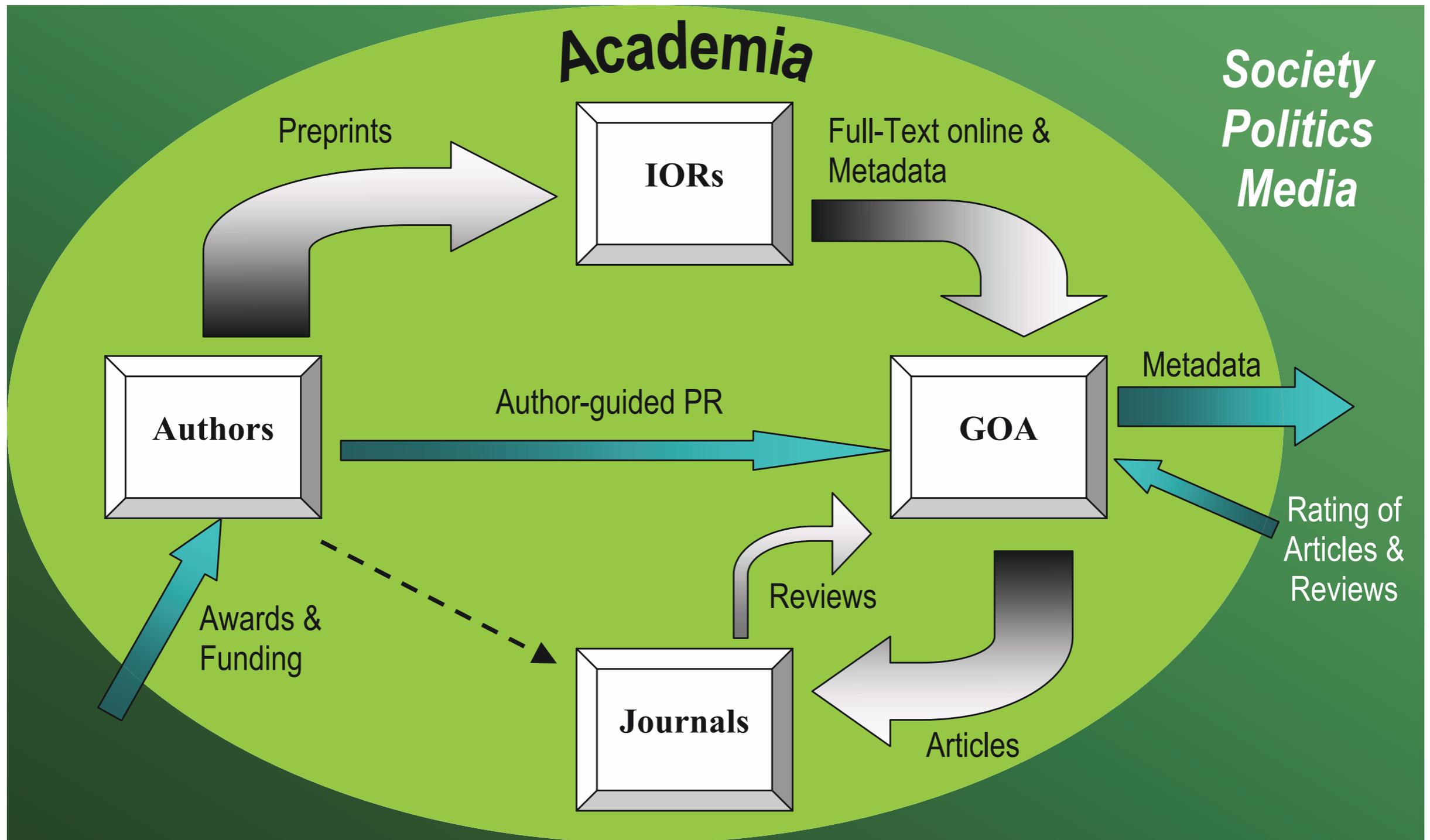


Figure 6. Percentages of selected articles in each journal that used NHST without CI, ES or Model and Power estimation.

VI. Solutions (peer review, preprints, repositories, etc)



Perakakis, P., Taylor, M., Mazza, M. & Trachana, V. Natural selection of academic papers. *Scientometrics* 85, 553–559 (2010).



We are a not-for-profit, open organisation of volunteer scholars, librarians and open science enthusiasts dedicated to providing benefit to the scientific community. Become a member and help us promote a new culture of ethical and socially responsible science.



### Who we are

We are a growing community of volunteer scholars, librarians, and open science enthusiasts working together to improve scholarly evaluation and communication



### What we do

We advocate journal-independent peer review and develop tools to bring back the control of research evaluation to the academic community



### How to join

Our community is open to new members who wish to join efforts towards building a new culture of transparent academic collaboration for the benefit of global knowledge. Join us [here](#)

Priority

3

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6 / 8 Who?

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This article still needs revisions

2 / 8 Who?

Vote

Essay and Opinion

# Novel processes and metrics for a scientific evaluation rooted in the principles of science

VERSION 1 Released on 26 January 2017 under Creative Commons Attribution 4.0 International License

Michaël Bon<sup>1</sup>, Michael Taylor<sup>2</sup>, Gary S McDowell<sup>3,4</sup>

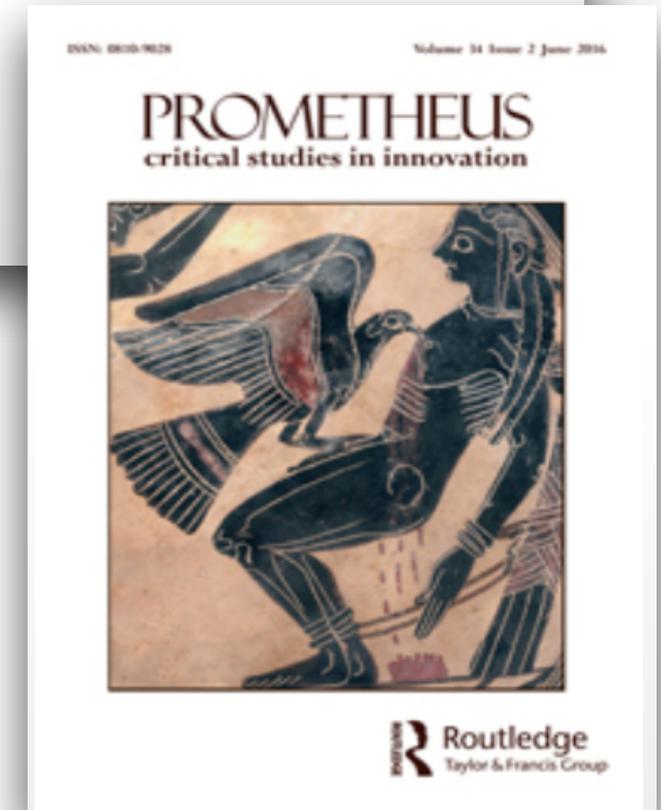
Authors' affiliations

1. SJS - The Self-Journals of Science
2. Department of Physics – Aristotle University of Thessaloniki
3. The Future of Research, Inc.
4. ManyLabs ([www.manylabs.org](http://www.manylabs.org))

# Academic self-publishing: a not-so-distant future

Pandelis Perakakis  & Michael Taylor

Pages 257-263 | Published online: 21 May 2014



## Independent Peer Review Manifesto

I endorse the Independent Peer Review Manifesto

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<http://www.openscholar.org.uk/institutional-repositories-start-to-offer-peer-review-services/>

[http://proyectos.bibliotecas.csic.es/digitalcsic/oprm/index\\_eng.html](http://proyectos.bibliotecas.csic.es/digitalcsic/oprm/index_eng.html)

[http://proyectos.bibliotecas.csic.es/digitalcsic/workshop\\_oa\\_2014/index\\_eng.html](http://proyectos.bibliotecas.csic.es/digitalcsic/workshop_oa_2014/index_eng.html)



 Statistics

 See citations in Google Scholar

 See citations in Microsoft Academic Search

Title:	 <b>Bibliometrics: The Leiden Manifesto for research metrics</b>
Authors:	Hicks, Diana; Wouters, Paul; Waltman, Ludo; Rijcke, Sarah de; Rafols, Ismael
Issue Date:	2015
Publisher:	Nature Publishing Group
Citation:	Nature 520(7548): 429-431 (2015)
Abstract:	Use these ten principles to guide research evaluation, urge Diana Hicks, Paul Wouters and colleagues.
Description:	Comment.
Publisher version (URL):	<a href="http://dx.doi.org/10.1038/520429a">http://dx.doi.org/10.1038/520429a</a>
URI:	<a href="http://hdl.handle.net/10261/132304">http://hdl.handle.net/10261/132304</a>
ISSN:	0028-0836
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Review text \*

Rate in a scale from 0-100 the article globally.

Overall quality assessment \*



**The reviewer must indicate her affiliation**



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**New resource types**



**Qualitative and quantitative peer review**

# Open reviews and comments generate new items in the repositories



**Title:** Towards a unified paradigm for sequence-based identification of fungi [Review]

**Authors:** Spouge, John L ←

**Issue Date:** 27-Apr-2018

**URI:** <http://hdl.handle.net/10261/131502> ←

**Affiliation:** National Center for Biotechnology Information, National Institutes of Health ←

**Review reputation:** How scores are calculated? ←

**Review:** The nuclear ribosomal internal transcribed spacer (ITS) is the official fungal barcode. Collections of ITS sequences do not usually provide either a public reference dataset or a stable, standardized taxonomic nomenclature for all fungal species. Here, contributing mycologists have developed software to gather ITS sequences from various sources and to provide them with a standardized taxonomic annotation. The resulting database and its software provide a truly public and open resource to further fungal research, both by mycologists and by other scientists. In particular, the article introduces the term "species hypothesis" to permit the discovery of new taxa by sequence clustering. The software provides systematic unique identifiers for the corresponding species hypothesis and automatically designates as its representative a sequence closest to the consensus sequence of the cluster. The software also cleans data (e.g., identifying chimeric sequences) and permits experts to add metadata in the form of annotations. With its standardization, the article provides a potential foundation for computerized taxonomic progress in mycology. My rating of 50 in "General interest" and "Social value" reflects my uncertainty about whether other taxonomic areas adopt the model for standardization presented in the article and whether the standardization is actually adopted by the general mycological community. The authors have, however, given their standard the potential to scale to a larger database.

**Quality rating:** ←

**Appears in Collections:** OPRM: Open Peer Reviews

**Related works:** <http://hdl.handle.net/10261/130958> ←

**Related comments:** [View annotation by Martín, María P.](#) ←

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<a href="#">Fig_S1_Generation_global_key_technical_description.pdf</a>		103,77 kB	Adobe PDF <a href="#">View/Open</a>

- Open reviews records contain:
- Name of the reviewer and affiliation
  - Links to the reviewed work
  - Links to items with related open comments
  - Individual quality rating of the reviewed work
  - Weighed review reputation metrics

<https://digital.csic.es/handle/10261/131502>

# Records of the reviewed works link to their open reviews, individual quality ratings and overall reputation metrics

## Cold-water corals in the Cap de Creus canyon, northwestern Mediterranean: spatial distribution, density and anthropogenic impact

### View/Open

 m397p037.pdf (861.8Kb)

### Identifiers

URI: <http://hdl.handle.net/10508/7818>

DOI: [10.3354/meps08314](https://doi.org/10.3354/meps08314)

### Export

RIS

### Share



### Statistics



 Cited 73 times in **Scopus**

[View Usage Statistics](#)

### Metadata

[Show full item record](#)

### Authors

Orejas, C. (Covadonga) ; Gori, A. (Andrea); Lo-Iacono, C. (Claudio); Puig, P. (Pere); Gili, J.M. (Josep Maria); Dale, M.R.T. (Mark R.T.)

### Editor's version

<http://www.int-res.com/abstracts/meps/v397/p37-51/>

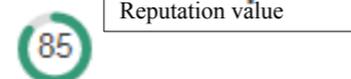
### Date

2009

### Type

article

### Publication reputation



Reputation value

### Related reviews

-  [View review by Cristobo, J. \(Javier\)](#)
-  [View review by Serrano, A. \(Alberto\)](#)

Quality ratings

### Keywords

Cold water corals  
Submarine canyons

# Author/reviewer reputation metrics show in their personal page

DC Producción CSIC - Pasarela Estadísticas Contacto  Servicios -

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## Martínez-graullera, Oscar

Colaboraciones Ver estadísticas de uso Alertas por Email RSS

Reputación como autor: 50

Perfil

Foto:

<b>Firma en Digital.CSIC (*):</b>	Martínez-graullera, Oscar
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<b>Otros identificadores (con url):</b>	RESEARCHERID
<b>Email:</b>	oscar.martinez@csic.es

# Author/reviewer reputation metrics show in their personal page

## Orejas, C. (Covadonga)



**Biography**

Covadonga Orejas is graduate in in Natural Sciences from the Univ staff of the IEO (currently at COB) and other benthic organisms since sustainable management of the C projects conducted in 12 different (44 SCI), and 7 book chapters. Si scientific journals, and several fur

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**Contact Information**

**Fields of Specialization**

Biology and ecology of cold-water corals (CWC) and other benthic org

**Degrees**

Graduate in Biology from the Universidad Complutense de Madrid (Sp (Germany)

**Departments**

C.O. BALEARES

Last updated marzo 15, 2016

**Author's reputation**

 79

## Jerez, S. (Salvador)



**Biography**

Salvador Jerez, born in La Gomera (Spain) of La Laguna (Spain). Researcher at the IEO Island Oceanographic Centre since 1989. E with 20 years participating in 19 Spanish an included in JCR/SC, and 40 National and In greater amberjack in the European Project I

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**Contact Information**

**Fields of Specialization**

Expert on Greater amberjack (*Seriola dumerili*) aquaculture specializing in repro

**Degrees**

Graduate and PhD in Biology from the University of La Laguna (Spain)

**Departments**

C.O. CANARIAS

Last updated marzo 15, 2016

**Reputation as a reviewer**

 86

*And new exciting projects on their way!...*

## VII. Discussion